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1 The design and investigation of de Vries liquid crystals based on 5-
2 phenyl-pyrimidine and (R,R)-2,3-epoxyhexoxy backbone

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15 Calamitic liquid crystals (LCs) based on 5-phenyl-pyrimidine derivatives have been
16 designed, synthesized and characterized. The 5-phenyl pyrimidine core was functionalized
17 with chiral (R,R)-2,3-epoxyhexoxy chain on one side and either siloxane or perfluoro
18 terminated chains on the opposite side. The one involving perfluorinated chain shows SmA*
19 phase over a wide temperature range of 82 °C, whereas the siloxane analogue exhibits both
20 SmA* and SmC* phases over broad range of temperatures and a weak first order SmA* -
21 SmC* transition is observed. For the siloxane analogue, the reduction factor for the layer
22 shrinkage R (relative to its thickness at the SmA* - SmC* transition temperature, T_{AC}) is \sim
23 0.373 and layer shrinkage is 1.7% both at a temperature of 13 °C below the T_{AC} . This
24 compound is considered to be having “de Vries smectic” characteristics with the de Vries
25 coefficient, $C_{deVries}$ of \sim 0.86 on the scale of zero (the maximum layer shrinkage) to 1 (zero
26 layer shrinkage). A three-parameter mean-field model is introduced for the orientational
27 distribution function (ODF) to reproduce the electro-optic properties. This model explains the
28 experimental results and leads to the ODF which exhibits a cross-over from the sugar-loaf to
29 diffuse-cone ODF some 3 °C below T_{AC} .

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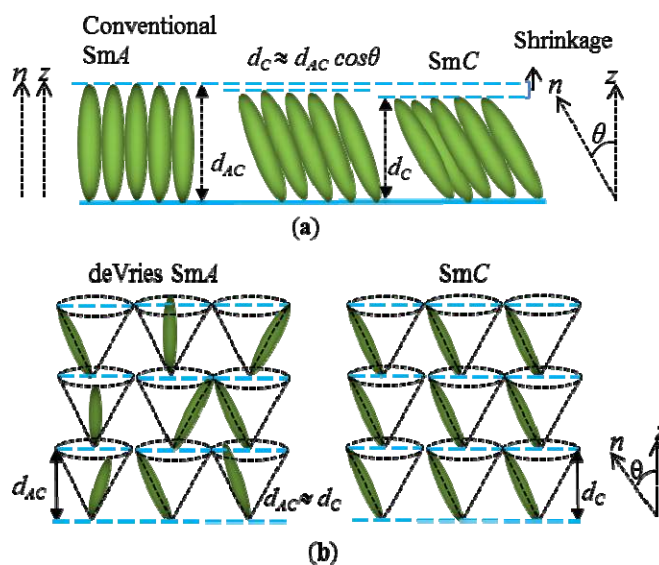
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34 I. INTRODUCTION

35 Chiral smectic liquid crystals (LCs) having mesophases close to the room temperature
36 are extremely useful for the next generation of displays and electro-optical devices. The
37 devices based on ferroelectric smectics with extremely fast switching time (μs) compared to
38 the currently used slowly switching nematics (ms) are very promising [1]. However to obtain
39 the defect free alignment in an LC cell over a broad range of temperatures, a pre-requisite of
40 zero or a small layer shrinkage for practical applications is required and the achievement of
41 which is still an open challenge. In principle, one is able to align the material very well in the
42 nematic or smectic A phases. However on a phase transition from $\text{Sm}A$ to $\text{Sm}C$, a tilt emerges
43 whereby the molecular long axis \mathbf{n} tilts relative to the layer normal \mathbf{z} by an angle, θ , that varies
44 with temperature (Fig. 1a). Consequently, the layer spacing (d_C) in the $\text{Sm}C$ phase decreases
45 by a factor of $\cos\theta$ provided liquid crystalline molecules are close enough to being considered
46 as rigid rods. The layer shrinkage arising from the tilt together with surface anchoring of
47 molecules leads to chevron structure/s formed in the cell. These structures with opposite fold
48 directions create zigzag defects at the interface in between the two oppositely folded
49 cheverons. The emergence of these defects are impediments to a successful
50 commercialization of devices based on ferroelectric LCs [2-4]. The solution is therefore
51 focussed on to developing chiral LCs with a minimal or zero layer-shrinkage at the $\text{Sm}A^*$ -
52 $\text{Sm}C^*$ transition temperature and within the temperature range of $\text{Sm}C^*$ [4]. It is normally
53 the case that where the layer shrinkage is much lower than the scaling factor, $\cos\theta$, then the
54 smectic phases of such compounds are known as ‘de Vries smectics’ (Fig. 1b).

55 A dozen or so compounds have been identified to have de Vries characteristics to the
56 varying degrees of perfection. According to the semi-qualitative studies carried out so far, the
57 calamitic LCs that combine low orientational order parameter but large lamellar orderings
58 are likely to exhibit better de Vries type characteristics [5-9]. Most of the de Vries smectics
59 contain trisiloxane/carbosilane backbones and perfluorinated side chains on either ends of the
60 core; these terminations strongly promote lamellar orderings [10]. In this case, the alkane
61 chains were replaced by an epoxy group (a precursor in the synthesis of the difluoro
62 material). In order to obtain a large P_S in the $\text{Sm}C^*$ phase, it is pertinent to prepare highly
63 enantiomeric enriched chiral molecules to avoid the cancelation effects of the oppositely

64 handed enantiomers. In the literature, excellent protocols are given for preparing epoxides
 65 from allylic alcohols with large enantiomeric excesses [11]. However to our knowledge,
 66 materials with enantiomeric enriched 5-phenyl pyrimidine epoxides have not yet been
 67 reported to have de Vries like behavior [12] before. The epoxide group may induce large
 68 electrical polarization. A use of 5-phenyl pyrimidine though as an aromatic core is
 69 extensively used in the synthesis of compounds that exhibit de Vries-like characteristics in
 70 chiral and non-chiral mesogens [13-15]. With this concept in mind, two new chiral materials
 71 containing epoxides in their structures have been designed, synthesized and investigated.



72

73 **FIG. 1** Schematic representation of the molecular arrangements in the SmA and SmC
 74 mesophases as per (a) conventional rigid-rod model and, (b) de Vries diffuse cone
 75 model [16]. z is the layer normal, n is the molecular long axis orientation, θ is the angle
 76 between n and z , d_C and d_A are the layer spacing in SmC and SmA, respectively.

77 In this article, we report synthesis and properties of the two 5-phenyl pyrimidine
 78 derivatives that contain the chiral (*R,R*)-2,3-epoxyhexoxy chain in conjunction with
 79 trisiloxane (adpc042) or perfluorinated (DR257) terminal chains (see appendix for the
 80 synthetic procedure). Both moieties are therefore structurally related to each other, both use
 81 the same aromatic core and the epoxide chiral chain. In the DR257, siloxane group is
 82 replaced with perfluorinated butane as aliphatic linkage of six carbons with the aromatic core.
 83 A modification of the chain ending could have a significant effect on the properties and with
 84 the objective of finding its effect on the electro-optic properties, we decided to explore this
 85 further to establish a well-desired structure-property relationship. The mesophases formed by
 86 these compounds were characterized by differential scanning calorimetry (DSC), polarized

87 optical microscopy (POM), x-ray diffraction (XRD), electro-optics and dielectric
88 spectroscopy. Characterization of the LCs shows that the perfluorinated epoxide DR257
89 exhibits a stable SmA* phase over a broad range of temperatures whereas the siloxane
90 terminated analogue adpc042 exhibits both SmA* and SmC* phases. In this trisiloxane
91 compound we achieve a layer shrinkage of 1.7 %, at a temperature of -13 °C below the SmA*
92 - SmC* transition temperature. Since adpc042 LC exhibits both SmA* and SmC* phases,
93 properties of this compound are detailed below.

94 **II. EXPERIMENT**

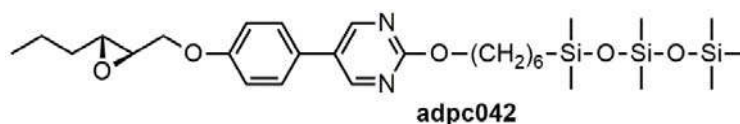
95 The phase sequence and the transition temperatures were found by DSC thermograms
96 (Perkin-Elmer DSC-7 calorimeter). The experiment was carried out under cooling at a rate of
97 10 °C min⁻¹. The electro-optical studies of the two LCs were conducted using planar-aligned
98 cells with parallel rubbed polyimide alignment layer KSRP-XX/D611P6NSS05. These cells
99 were purchased from EHC, Japan. LC cells were studied by POM and electro-optics .
100 Olympus BX 52, equipped with a rotating table was used. The hot-stage was fixed on to the
101 rotating table. The hot stage was connected to a temperature controller, Eurotherm 2604.
102 XRD measurements were carried out on Bruker D8 diffractometer using Cu-K_α source with a
103 wavelength 0.154 nm. Dielectric spectroscopic studies were performed using an Alpha High
104 Resolution Dielectric Analyser (Novocontrol GmbH, Germany) in the frequency range 1 Hz -
105 10 MHz. Indium Tin Oxide (ITO) coated glass substrates were used to make cells for studies
106 of the real and imaginary parts of the dielectric permittivity. Substrates were coated with RN
107 1175 polymer (Nissan Chemicals, Japan) for obtaining the planar alignment. The cell
108 thickness (*d*) was controlled by Mylar spacers. UV-VIS spectrometer (Avaspec-2048) was
109 used to measure *d* through interference fringes. The ITO sheet resistance of the substrates (20
110 Ω/□) is low enough to shift the peak frequency for the resistance of the ITO in series with the
111 cell, beyond the experimental window of measurements. The dielectric spectra were analysed
112 using Novocontrol WINDETA program.

113 **III. RESULTS AND DISCUSSIONS**

114 **A. Differential Scanning Calorimetry and Polarizing Optical Microscopic Studies**

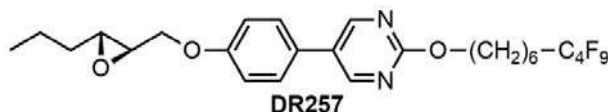
115 The molecular structure and the transition temperatures of siloxane pyrimidine
116 adpc042 and the fluorinated pyrimidine DR257 are given in Figs. 2 (a) and (b) (synthetic

117 procedures given in the appendix). Result of a DSC thermogram of DR257 is given in Fig.
 118 2c. Here two transitions with the enthalpies, ΔH of $\sim 17.2 \text{ Jg}^{-1}$ and $\sim 30.4 \text{ Jg}^{-1}$ at temperatures
 119 of $\sim 143 \text{ }^\circ\text{C}$ and $\sim 56 \text{ }^\circ\text{C}$ are recorded. To identify the mesophases, textures of a $9 \mu\text{m}$ planar
 120 cell filled with DR257 are recorded with POM. Images corresponding to the two mesophases
 121 are shown in the inset of Fig. 2c. On cooling from the isotropic temperature, the mesophase
 122 grows from the typical ‘batonnet’ structures to the focal conic texture. Both focal conic and
 123 dark homeotropic textures obtained by POM are the characteristics of SmA^* phase [17].
 124 Textures confirm that the phase transition from SmA^* to a crystalline state is at a temperature
 125 of $56 \text{ }^\circ\text{C}$.



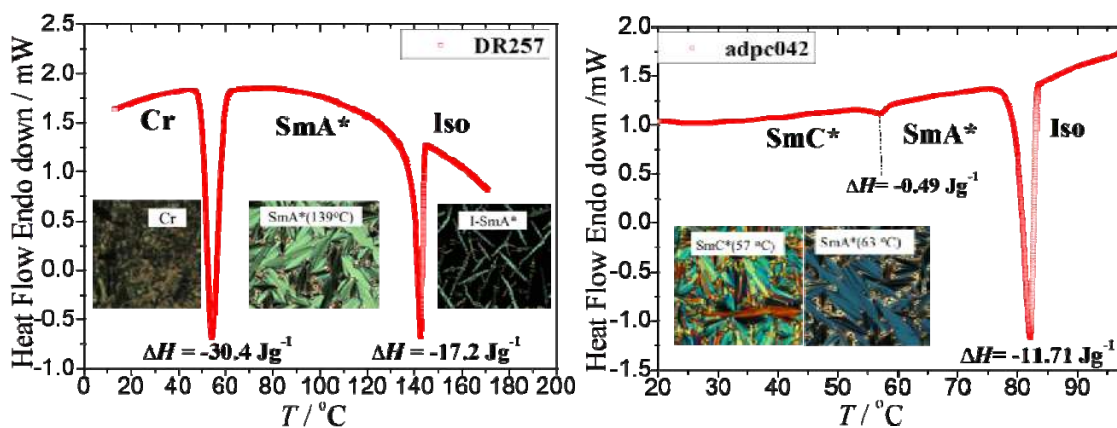
127 Cr $-5 \text{ }^\circ\text{C}$ SmC^* $58 \text{ }^\circ\text{C}$ SmA^* $82 \text{ }^\circ\text{C}$ Iso

128 (a)



130 Cr $60 \text{ }^\circ\text{C}$ SmA^* $152 \text{ }^\circ\text{C}$ Iso

131 (b)



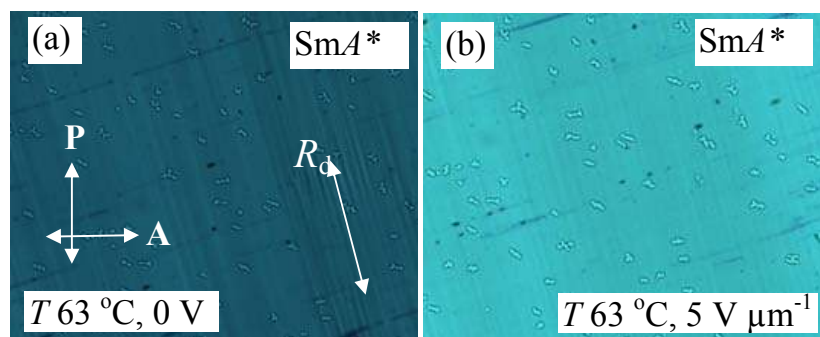
133 (c)

(d)

134 **FIG. 2** Molecular structures and the DSC thermograms of adpc042 [(a) and (d)] and
 135 DR257 [(b) and (c)] are given. The phase transition temperatures are obtained under the
 136 cooling cycle with a quasi-equilibrium condition at the rate of $\sim 1 \text{ }^\circ\text{C min}^{-1}$ using POM.
 137 The cooling curves of the DSC thermograms are obtained at the rate of $10 \text{ }^\circ\text{C min}^{-1}$.
 138 Iso= isotropic phase, Cr= crystalline state. Insets in these two figures are the POM
 139 images of the mesophases seen under the crossed polarizers. Textures are recorded for a
 140 $9 \mu\text{m}$ planar-aligned cells in the cooling run.

141 A representative the DSC plot of adpc042 LC under cooling exhibits two peaks (Fig.
 142 2d). Both DR257 and adpc042 show the first order Iso -SmA* transition with ΔH of ~ 11.71
 143 Jg^{-1} and 17.2 Jg^{-1} at a temperature of $\sim 82 \text{ }^\circ\text{C}$ and $\sim 145 \text{ }^\circ\text{C}$. This is confirmed by POM where
 144 the focal conic fan shaped texture from a $9 \text{ }\mu\text{m}$ planar-aligned cell (Fig. 2d inset) is recorded.
 145 On lowering the temperature, the sample undergoes a weakly first order SmA* - SmC*
 146 transition with ΔH of $\sim 0.49 \text{ Jg}^{-1}$ ($0.069 \text{ kcal mol}^{-1}$) at $58 \text{ }^\circ\text{C}$ [12, 18]. For a conventional
 147 SmA*- SmC* transition where the tilt angle stays at almost zero value in the SmA* phase
 148 down to the transition temperature T_{AC} , the DSC thermogram exhibits a second order
 149 transition with a step in the baseline without a peak for the enthalpy of transition [19]. The
 150 SmC* phase of adpc042 is distinguishable from SmA* by POM where a fan shaped texture
 151 from a planar cell is altered to a broken fan shaped one (inset Fig. 2d). The dark homeotropic
 152 texture is changed to Schlieren texture on transition from SmA* to SmC*. The entire
 153 replacement of trisiloxane in adpc042 by tetra fluorocarbon chain in DR257, radically alters
 154 the mesomorphic behaviour, the temperature range of SmA* is increased from $23 \text{ }^\circ\text{C}$ to $89 \text{ }^\circ\text{C}$,
 155 whereas SmC* disappears. An increased temperature range of the SmA* phase in DR257
 156 could be due to a large dipole moment associated with the fluorinated tail [20]. The siloxane
 157 groups in adpc042 enhance the thermal stability of the compound as well as lower the phase
 158 transition temperatures. These features may be of great importance from a point of view of
 159 the molecular design and applications.

160 The POM images of a planar-aligned cell of thickness $9 \text{ }\mu\text{m}$ filled with adpc042
 161 recorded at $63 \text{ }^\circ\text{C}$ ($\sim 19 \text{ }^\circ\text{C}$ below the Iso- SmA* transition temperature and $5 \text{ }^\circ\text{C}$ above the
 162 T_{AC}), are given in Fig. 3. The rubbing direction R_d is fixed at an angle, $\alpha = \sim 15^\circ$ to the
 163 polarizer \mathbf{P} .



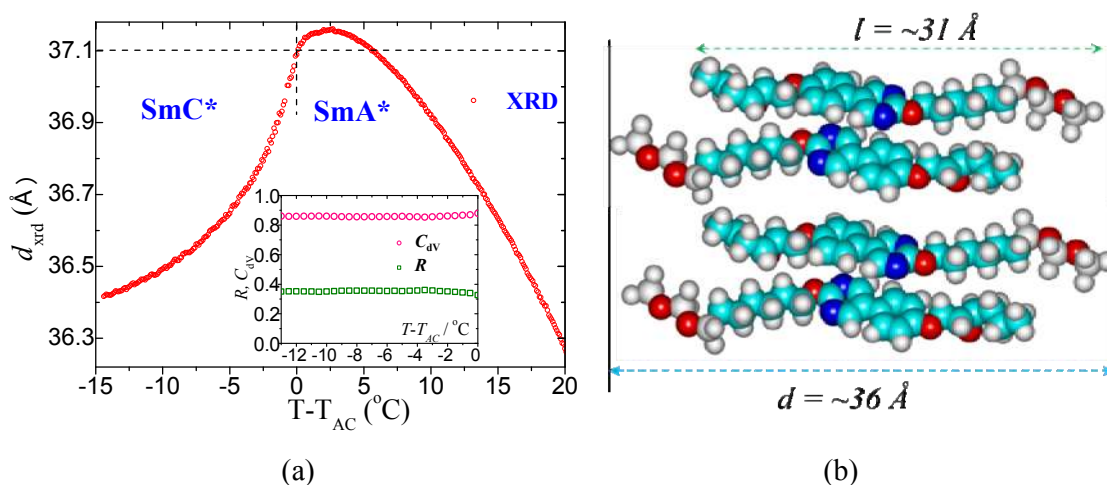
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FIG. 3 POM images of SmA* phase at a temperature of $63 \text{ }^\circ\text{C}$ in a $9 \text{ }\mu\text{m}$ planar-aligned cell filled with adpc042 LC: (a) 0 V and (b) $5 \text{ V } \mu\text{m}^{-1}$ (square wave AC electric field of 110 Hz).

168 The electric field treatment of the LC cell gives rise to a uniform mono-domain
 169 texture (Fig. 3). An application of the external electric field across a cell in well-aligned
 170 SmA* phase produces a change in the color of the texture (compare Figs. 3a and 3b), due to
 171 an increase in the birefringence Δn with the field, a characteristic of the de Vries behavior. In
 172 this phase, the molecules are tilted but are azimuthally disordered. The electric field produces
 173 a bias in the azimuthal distribution and the molecules tilt in a particular direction determined
 174 by the polarity of the field. The resulting SmA* is reduced to the same symmetry as SmC*
 175 phase through azimuthal ordering of the already tilted liquid crystalline molecules.

176 B. X-ray diffraction(XRD)

177 Figure 4(a) shows the temperature dependence of the smectic layer spacing
 178 determined by the XRD experiment. On cooling the sample below the isotropic phase, the
 179 layer spacing in SmA* phase initially increases linearly with decreasing temperature. On
 180 approaching the SmA*- SmC* transition temperature, the thickness reverses its trend within
 181 SmA* from an increase to a decrease due to an emergence of the molecular tilt even in the
 182 SmA* phase. On further cooling of the sample cell, the layer thickness in the SmC* phase
 183 continues to decrease much more slowly than expected from a conventional smectic, in
 184 which the layer thickness scales as, $\cos \theta$. Figure 4b shows the simulated molecular
 185 structures of the compound adpc042 using Hyperchem Program. The simulated length l , of
 186 the molecule is $\sim 31 \text{ \AA}$. A possible molecular arrangement in layers is shown in Fig. 4b such
 187 that the total length of a pair of molecules is larger than the smectic layer thickness.



188
 189
 190 **FIG. 4** (a) Temperature dependence of the smectic layer spacing determined by XRD (\blacktriangle)
 191 relative to the layer thickness at the SmA* to SmC* transition temperature. The inset
 192 shows plots of the de Vries coefficient C_{deVries} (\square) and the reduction factor R (\square) vs. the

193 reduced temperature ($T-T_{AC}$). De Vries characteristics are defined in terms of $C_{deVries}$ and
 194 R . Measurements are carried out on a compound adpc042, (b) The simulated molecular
 195 structures of the compound adpc042.

196 A layer shrinkage of 1.7% is calculated from the XRD at a temperature of 13 °C below the
 197 SmA^* - SmC^* transition temperature T_{AC} . The layer-shrinkage is small. The behaviour is
 198 similar to that in SmA^* phase where the molecular directors in SmA^* phase are distributed
 199 on to a cone where the azimuthal angle is degenerated. At the SmA^* to SmC^* transition,
 200 the tilt directions condense to within narrower limits, hence the disorder in the azimuthal
 201 angle disappears in the SmC^* phase. This process in itself requires no change in the layer
 202 thickness.

203 The reduction factor R of a smectic LC is defined as,

$$204 \quad R = \frac{\delta(T)}{\theta_{opt}(T=T_{AC})} = \frac{\cos^{-1}(d_c(T)/d_{AC}(T=T_{AC}))}{\theta_{opt}(T=T_{AC})} \quad (1)$$

205 where $\delta(T)$ governs the layer thickness at a temperature T within SmC^* phase relative to the
 206 layer thickness at the SmA^* - SmC^* transition temperature, d_{AC} , on assuming that the rigid
 207 rod model is applicable to the LC under investigation [21]. θ_{opt} is the optical tilt angle
 208 determined by the POM (Fig. 5). According to Eq. (1), an ideal de Vries smectic with
 209 $d_c(T) \approx d_{AC}(T = T_{AC})$ (Fig. 1b) produces a defect-free bookshelf geometry in SmC^*
 210 phase with a reduction factor $R = 0$, *i.e.* the short-range molecular tilt order at a lower
 211 temperature in the SmA^* phase becomes long range close to the SmA^* - SmC^* transition
 212 temperature, where the maximum de Vries cone angle is equal to the saturated optical tilt
 213 angle at a temperature close to T_{AC} . On entering the SmC^* from SmA^* phase, the azimuthal
 214 ordering of the molecular directors on the cone localizes these on to a single orientation. In
 215 this case, the layer contraction is absent and then $d_c/d_{AC} \approx 1$, and therefore $R \approx 0$. Li *et al.*
 216 suggested another method of characterizing de Vriesness from measurements of the layer
 217 thickness from XRD in terms of the de Vries co-efficient, $C_{deVries}$ [22]. The magnitude of this
 218 varies from '0' (classic rigid rod model with a maximum layer shrinkage) to 1 (ideal de Vries
 219 SmA^* – SmC^* transition with zero layer shrinkage) such that

$$C_{deVries} = 1 - \left[\frac{(d_{AC} - d_C)}{(d_{AC}(1 - \cos \theta))} \right] \quad (2)$$

220 The material adpc042 gives rise to $R \approx 0.373$ at 13 °C below the SmA* - SmC* transition
 221 temperature. Understandably, R is away from zero but still low, hence the material is close to
 222 being a ‘de Vries smectic’. An estimated value of $C_{deVries}$ is ~ 0.86 (Fig. 3 inset) at 13 °C
 223 below the SmA* - SmC* transition temperature. The inset plot of Fig. 4 shows that both R
 224 and $C_{deVries}$ are almost independent of temperature throughout the entire temperature range of
 225 the SmC* phase. The lowest reported value of R so far is 0.17 for the chiral de Vries
 226 compound **QL32-6** that exhibits a maximum layer contraction of only 0.2% at 3 °C below the
 227 SmA*-SmC* transitions with an optical tilt angle of 20° [13].
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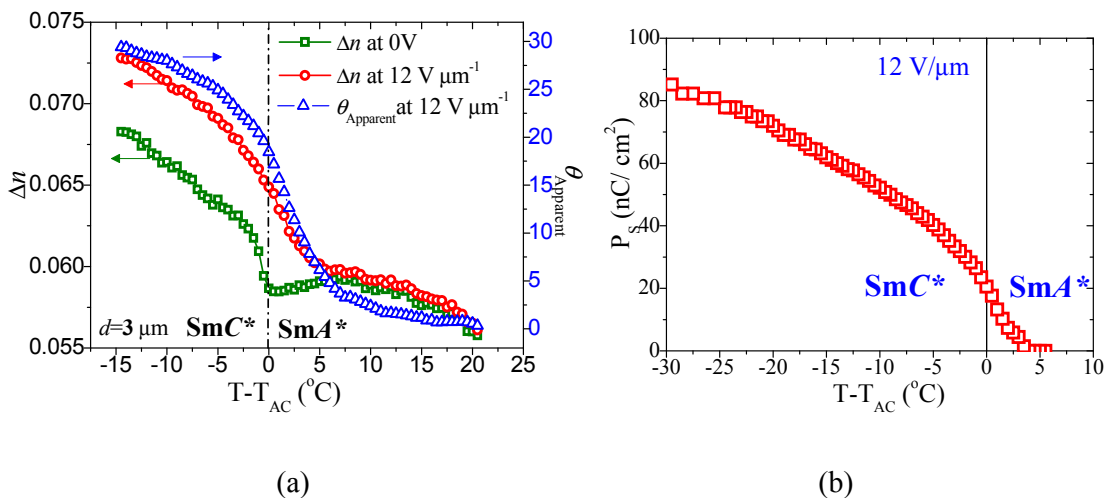
229 C. Electro-optical studies

230 The birefringence Δn and the apparent optical tilt angle $\theta_{Apparent}$ are determined by
 231 recording the intensity of a transmitted beam of light through a LC cell by varying the
 232 positions of the polarizer and the analyzer. The procedure involves first keeping **P** fixed, and
 233 then rotating the analyzer automatically by various angles. This procedure is repeated for at
 234 least three different positions of the polarizer. From the data, Δn and $\theta_{Apparent}$ are
 235 calculated. This procedure is different from that given by Park *et al.* [23], who record the
 236 transmitted intensity by rotating the sample and also record the transmitted intensity for the
 237 P and A (i) parallel and (ii) crossed to each other. The experiment is conducted by applying a
 238 triangular signal of frequency 46 Hz and an amplitude of 12 V_{0-peak} μm^{-1} . Frequency of the
 239 field is chosen so as to allow sufficient time for the electro-optic switching to occur but to
 240 prevent ionic-conductivity contributing to the switching current. An amplitude of the voltage
 241 applied to the LC sample adpc042 is large enough so as to make the tilt angle increase slowly
 242 with field and then eventually to saturate by the field while avoiding a risk of damage to the
 243 sample by the applied electric field.

244 Figure 5 shows results of the birefringence (Δn) as a function of temperature with and
 245 without electric field applied across the cell. On cooling the sample in the absence of field
 246 from the isotropic to SmA* phase, the magnitude of Δn first increases slightly, but then starts
 247 to decrease within SmA* phase. This decrease in Δn with temperature is due to the de Vries
 248 tilt appearing in the SmA* phase and a distribution of the in-layer directors occurring on to
 249 the cone. On further cooling the sample in the absence of electric field, Δn suddenly increases

250 at the SmA^* - SmC^* phase transition temperature T_{AC} followed by slow increase with a
 251 decrease in temperature. An application of the electric field ($E=12 \text{ V } \mu\text{m}^{-1}$) gives rise to a
 252 continuous rise in Δn in the entire temperature range of the SmA^* and SmC^* phases. This
 253 field induced increase in Δn is consistent with a change in the interference colors observed by
 254 POM (Fig. 3). The behavior is typical of the diffuse-cone model of SmA^* phase with a weak
 255 first order SmA^* - SmC^* phase transition (Fig. 2b). In the conventional SmA^* of a LC, one
 256 may expect a small increase in Δn to occur at the phase transition from a uniaxial SmA^* (with
 257 zero tilt angle at $T = T_{AC}$) to a biaxial SmC^* phase.

258 For adpc042, the measured value of the spontaneous polarization P_S [24] is plotted as
 259 a function of the reduced temperature in Fig. 5(b). Measurements are carried out on a $5 \mu\text{m}$
 260 planar cell under the application of a square wave, frequency 110 Hz and large enough
 261 amplitude of $12 \text{ V}/\mu\text{m}$. The field is such that the helical structure for temperatures within
 262 SmC^* is unwound. It is interesting to note that the field induced polarization is much higher
 263 than for conventional ferroelectric liquid crystals. P_S increases with a reduction in
 264 temperature below the SmC^* - SmA^* transition. The liquid crystalline material under study
 265 yields $P_S \sim 82.5 \text{ nC cm}^{-2}$ for $T=(T_{AC} - 30) ^\circ\text{C}$.

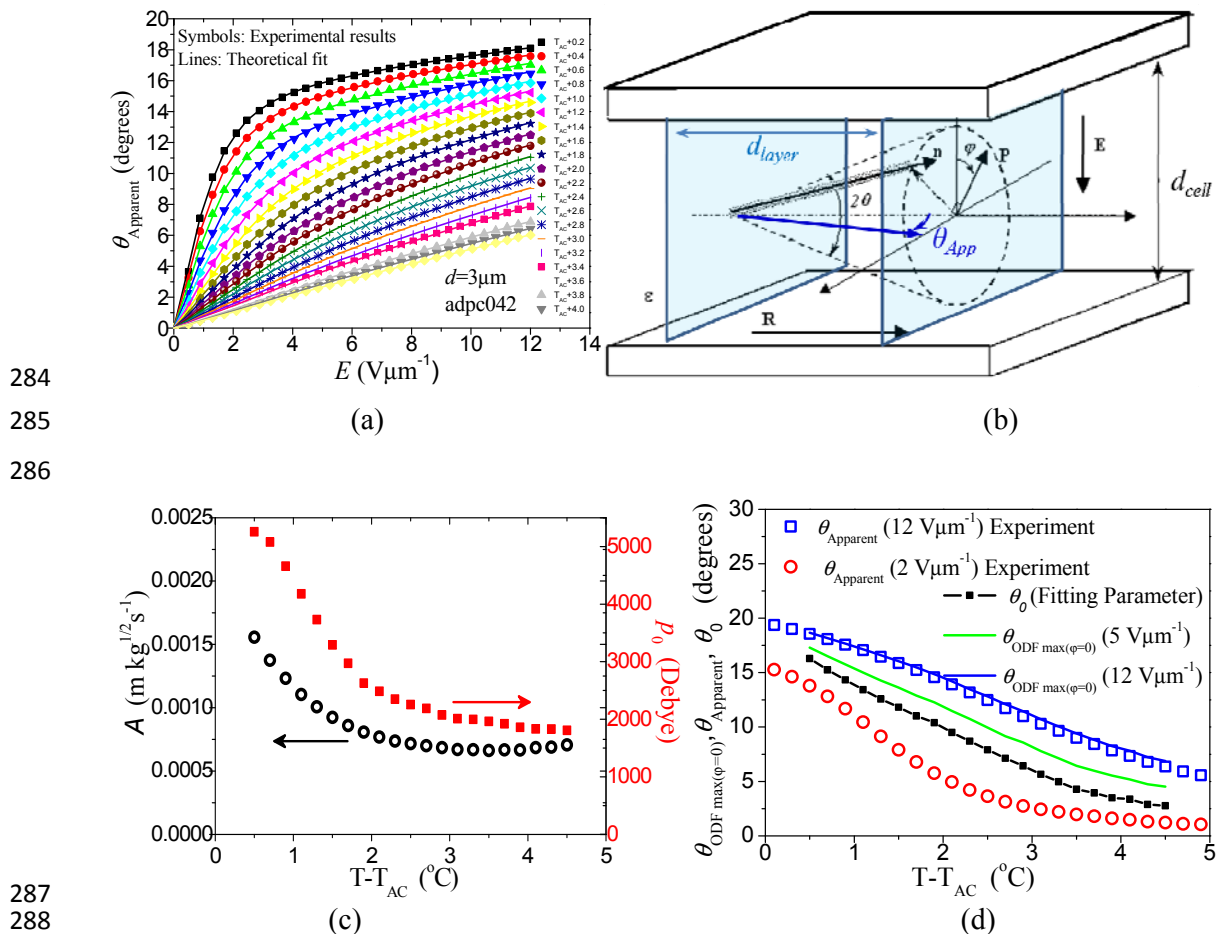


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268 **FIG. 5.** Results given here are for adpc042. (a) The temperature dependence of
 269 the apparent tilt angle (θ_{Apparent}) and the birefringence Δn by applying a maximum
 270 field of ($12 \text{ V } \mu\text{m}^{-1}$, red open circles); Δn in the absence of the electric field
 271 (green square). Blue triangles denote the apparent tilt angle θ_{Apparent} for a field of
 272 $12 \text{ V}/\mu\text{m}$. Measurements are carried out on a $3 \mu\text{m}$ planar-aligned cell filled with
 273 adpc042 LC. (b) Spontaneous polarization P_S measured under the cooling process
 274 from the isotropic temperature plotted as a function of $(T - T_{AC})$. The
 275 measurements are carried out on a $5 \mu\text{m}$ planar cell by applying a square wave AC
 276 voltage of $12 [V_{\text{peak-peak}}/\mu\text{m}]$ at a frequency of 110 Hz.

277 The measured values of θ_{Apparent} as a function of the electric field for different
 278 temperatures in the SmA^* phase are plotted in Fig. 6a. The co-ordinate system and the
 279 definition of the various angles are given in Fig. 6b. At a higher temperatures in the SmA^*
 280 phase, the magnitude of θ_{Apparent} is low and it increases linearly with the applied field. For
 281 temperatures closer to the T_{AC} , θ_{Apparent} becomes nonlinear and it continues to increase slowly
 282 up to an electric field of $12 \text{ V } \mu\text{m}^{-1}$ and eventually the tilt angle tends to saturate with the
 283 electric field.



287
 288
 289 **FIG. 6.** (a) Variation of the field induced apparent optical tilt θ_{Apparent} (symbols) and
 290 the corresponding fitted values (solid lines) for selected temperatures. Measurements
 291 are carried out in a $3 \mu\text{m}$ planar cell filled with adpc042 LC. (b) The schematic of the
 292 dynamics with the laboratory co-ordinate system (c) Temperature dependence of the
 293 model parameters A and p_0 found from the experiments, (d) temperature dependencies
 294 of the measured apparent tilt angle θ_{Apparent} (open symbols), the cone angle θ_0 (the line
 295 with symbols) positions of the distribution function of the maxima (lines) for the
 296 various electric field strengths applied across a planar-aligned cell.

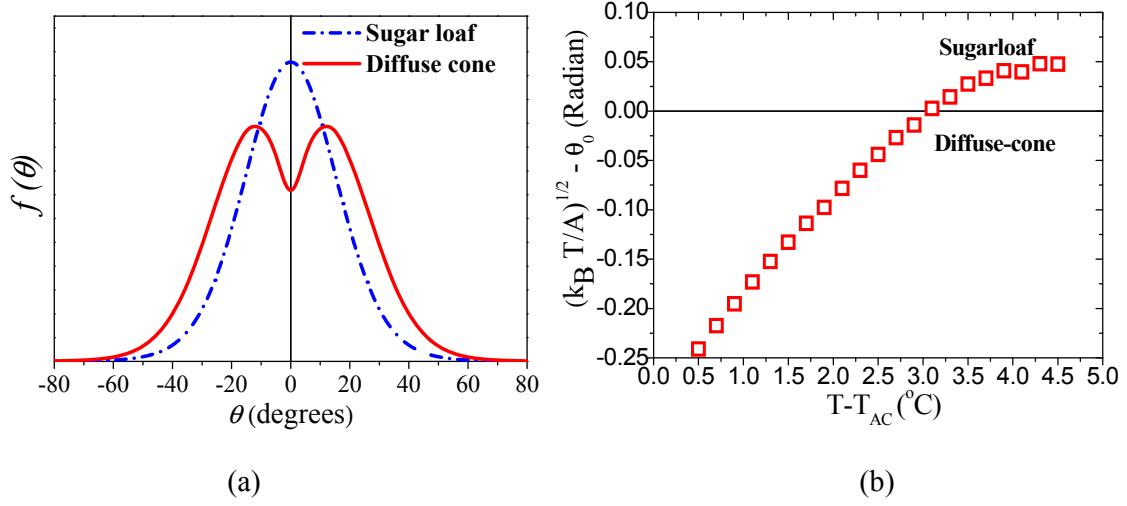
297 Several approaches exist in the literature for modeling the unusual electro-optic
 298 characteristics of the de Vries smectics [25-30]. The Langevin-Debye model, proposed by

299 Fukuda [25] in a different context, was used by Clark *et al.* [27] to explain the electro-optical
 300 properties of de Vries smectic LCs. This model assumes that for a fixed temperature and zero
 301 electric field, the molecular directors in the SmA* phase are tilted but azimuthally distributed
 302 on to a cone. In this model, the free energy is expressed as $U = -pE \cos \varphi$, where p is the local
 303 dipole moment. However, this model does not correctly explain the dependence of the
 304 induced apparent tilt angle (θ_{Apparent} for the electric field) for temperatures rather closer to the
 305 SmA*-SmC* transition temperature T_{AC} . In 2013, the Boulder group [28] modified this
 306 model where they added an additional term involving the square of the electric field in the
 307 expression for the free energy. This is expressed as $U = -p_0 E \sin \theta \cos \varphi (1 + \alpha E \cos \varphi)$.
 308 Here, α is the phenomenological scaling factor and $p_0 \sin \theta$ is the dipole moment of the
 309 domain correlated in the molecular tilt created by the condensation of azimuthal angles φ .
 310 The first term $-p_0 E \sin \theta \cos \varphi$ corresponds to the interaction of the dipole with the field.
 311 The second term $-\alpha p_0 E^2 \sin \theta \cos^2 \varphi$ includes the tilt susceptibility that increases with the
 312 square of the field E and it leads to a sigmoidal response in both Δn and θ_{Apparent} with E . In
 313 this model, the field induced θ_{Apparent} varies between the values inferred from the Δn at zero
 314 field (θ_{min}) to the maximum electric field (θ_{max}). These limiting values of θ_{min} and θ_{max}
 315 themselves are temperature independent but the actual values within these limits nevertheless
 316 are temperature dependent. Therefore, we propose a somewhat different model. This
 317 involves three parameters for explaining the electro-optic response where the mean-field free
 318 energy is expressed as:

$$319 \quad U = -p_0 E \sin \theta \cos \varphi + A^2 \sin^2(\theta - \theta_0) \quad (3)$$

320 Here the first term, $p_0 E \sin \theta \cos \varphi = \mathbf{P} \cdot \mathbf{E}$, describes the usual dipole interaction energy
 321 with the field. $|\mathbf{P}|, [= (p_0 \sin \theta)]$, is the magnitude of the dipole moment of a tilt correlated
 322 domain. **This first term of Eq. (3) is linear in the external electric field E .** The second term in
 323 this equation defines the cone distribution with a cone aperture angle of $2\theta_0$ and a distribution
 324 width proportional to $\sqrt{k_B T}/A$. Here k_B is the Boltzmann constant, T is the absolute
 325 temperature and A is the phenomenological co-efficient for the zero field molecular
 326 distribution. Note that for the case, $\theta_0 < \sqrt{k_B T}/A$, the model produces “sugar-loaf” ODF (Fig.
 327 7(a)), while a larger θ_0 combined with a narrower distribution width correspond to the
 328 “volcano” or “diffuse-cone” distribution (Fig. 7(a)). **Thus both scenarios discussed in [31] are**
 329 **reproduced with the same expression for the free energy. Figure 7b illustrates the trend of**
 330 **$[(k_B T/A)^{1/2} - \theta_0]$, as a function of the reduced temperature $(T-T_{\text{AC}})$ for adpc042. One can see**

331 that at 2 to 3 degrees below the phase transition, this function crosses zero. This is a cross-
 332 over between the sugar-loaf and the diffuse-cone ODFs.



333

334

335 **FIG. 7.** (a) 2D schematic representation of sugar-loaf (dash-dot lines in blue colour)
 336 and diffuse-cone (solid lines in red colour) ODFs. (b) $[(k_B T/A)^{1/2} - \theta_0]$ plotted as a
 337 function of the reduced temperature $(T-T_{AC})$. Measurements are carried out on a $3 \mu\text{m}$
 338 planar cell filled with adpc042.

339 For the range of the electric fields used, we observe no inflection point in the field
 340 dependence of θ_{Apparent} *i.e.* no change in the slope of the derivative of the angle at the point.
 341 Therefore, we can achieve a good fit of the experimental data to the model without using
 342 terms of higher powers of E .

343 In order to simulate the behaviour of θ_{Apparent} , we use the mean-field approach combined with
 344 equations for the optical response [28]. An average $\langle Y \rangle$ over the orientational distribution can
 345 be written as $\langle Y \rangle = \int_0^{2\pi} \int_0^{\pi/2} Y(\theta, \varphi) f(\theta, \varphi) \sin \theta d\theta d\varphi$, where the mean field ODF $f(\theta, \varphi)$ is
 346 expressed as:

$$347 \quad f(\theta, \varphi) = \exp[-U/k_B T] / \int_0^{2\pi} \int_0^{\pi/2} \exp[-U/k_B T] \sin \theta d\theta d\varphi \quad (4)$$

348 The apparent electro-optical tilt angle θ_{Apparent} is given by:

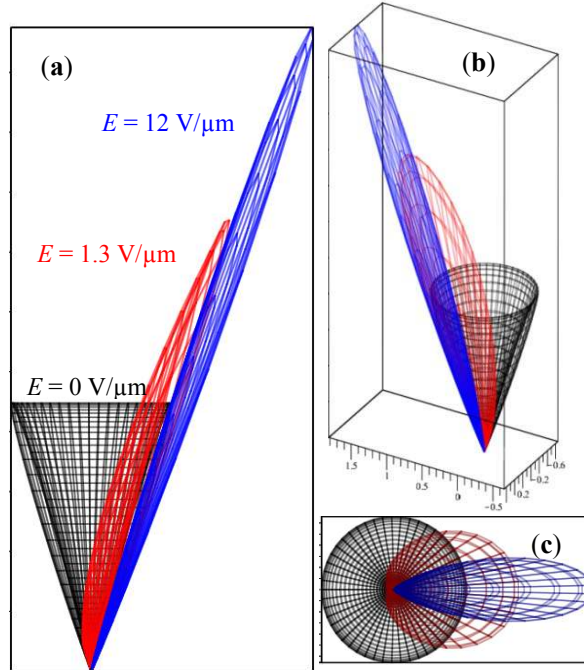
$$349 \quad \tan 2\theta_{\text{Apparent}} = \frac{\langle \sin 2\theta \cos \varphi \rangle}{\langle \cos^2 \theta - \sin^2 \theta \cos^2 \varphi \rangle} \quad (5)$$

350 On fitting the experimentally obtained voltage dependencies of θ_{Apparent} to the above model,
 351 we obtain values of p_0 , A and θ_0 each as a function of temperature (Fig. 6c, and 6d). All the
 352 parameters tend to increase on approaching T_{AC} as the cell is cooled from the isotropic state
 353 to the SmA^* phase. Such an increase is in agreement with the previous models [27, 28].

354 Onusing the dipole moment of the correlated domain as $p_0 \approx 5000$ D at 0.5 °C above
 355 the SmC* - SmA* phase transition temperature, we can estimate the effective size of a
 356 domain using the procedure similar to that adopted by Shen et al. [28]. The effective
 357 molecular dipole moment can be estimated from the polarization density measurements
 358 performed on a planar-aligned cell in SmC* phase. In this case P_S is saturated at 69 nC/cm²;
 359 $\theta_{Apparent} = 30^\circ$ at $(T_{AC} - 19)$ °C, with the molar mass, $M = 590.3$ g/mol, density $\rho = 1.2$
 360 g/cm³, the dipole moment is found as $\mu_{eff} = \frac{P_S M}{\rho N_A \sin \theta_{Apparent}} \approx 0.34$ D. Therefore, the tilt-
 361 correlated domain in our experiment contains approximately 6, 000 to 15,000 molecules. The
 362 effective domain correlation length $\sqrt[3]{\frac{p_0 \sin \theta_{Apparent}}{P_S}}$, at a temperature close to the SmA* -
 363 SmC* phase transition, is of the order of 30 nm [30].

364 Parameter A clearly favours the “volcano”- type distribution as illustrated by Fig. 8. A
 365 comparison of the cone angle θ_0 that fits the experimentally obtained $\theta_{Apparent}$ for different
 366 fields is shown in Fig. 6d. Note that for higher field strengths, $\theta_{Apparent}$ exceeds θ_0 . This is
 367 indicative of a large electro-clinic effect. The solid lines in Fig. 6d show angular positions of
 368 the maximum in the ODF simulated for the two electric field strengths (5 and 12 V μm^{-1})
 369 here. The experimental values of $\theta_{Apparent}$ for 12 V μm^{-1} are slightly lower than for an angle for
 370 which θ_{ODF} is a maximum. This is expected from a highly distorted diffuse-cone ODF, the
 371 distortion is brought about by the applied electric field.

372 Figures 8a-d show a 3D illustration of the ODF function obtained for a temperature of
 373 $(T_{AC} + 1)$ °C. The ODF is normalized as given below such that its volume for different fields
 374 is a constant: $f_{3D} = \frac{f(\theta, \varphi)}{\sqrt[3]{\int_0^{2\pi} \int_0^{\pi/2} f(\theta, \varphi)^3 \sin \theta d\theta d\varphi}}$. Figure 8c shows the cross-section of the ODF
 375 in the plane of the LC cell where the maximum of the ODF vs. apparent tilt angle is plotted.
 376 The electro-clinic effect for the following electric fields are given in Fig. 8: zero, moderate
 377 (1.3 V/ μm) (“cone unwinding”), and for large fields; 12 V/ μm (tilt increases but φ is
 378 condensed within a narrow range of values). Note that the modeled ODF shown in Fig. 8
 379 corresponds to the core part of the mesogen that exhibits birefringence at visible wavelengths
 380 of light.

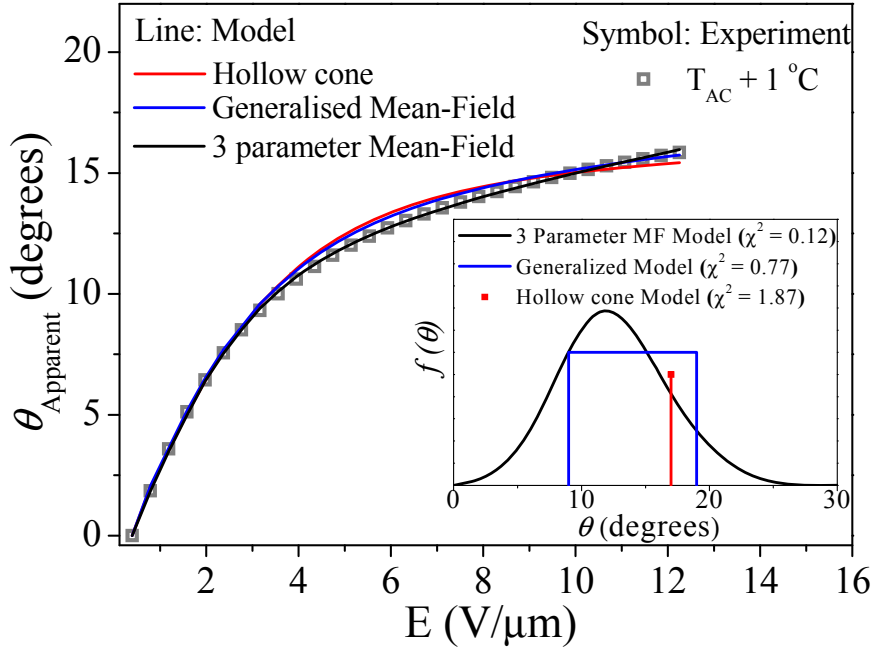


381

382 **FIG. 8.** The ODF of the smectic compound, adpc042, at a temperature of $T = (T_{AC}$
 383 $+ 1)$ °C for different electric field strengths (0 V, $1.3 \text{ V}\mu\text{m}^{-1}$, and $12 \text{ V}\mu\text{m}^{-1}$). (a-c)
 384 are the axonometric projections of $f_{3D}(\theta, \varphi)$.

385 To test different models, we fit experimental data of θ_{Apparent} as a function of the electric field
 386 at a temperature 1 °C above the SmA*- SmC* phase transition temperature, T_{AC} (Fig. 9), to
 387 the following models: the fixed-angle hollow cone [27], the generalized mean-field model
 388 [28] and our 3-parameter mean-field model. For low fields data fitted to the various models is
 389 quite adequate. However for the medium and high electric fields, the 3-parameter model
 390 shows a closer fit to the experimental data. This is supported by drawing a comparison
 391 between sum of the squares of the residuals (χ^2) for the various fits as shown in the inset of
 392 Fig. 9. Though, the three models provide reasonable fit to the data, our proposed model has no
 393 intrinsic assumption of either the sugar-loaf or the diffuse cone type distribution, i.e. it is not
 394 limited to the assumption of a certain ODF in the SmA* phase. Therefore testing and
 395 discrimination among the models is carried out automatically during the fitting procedure.
 396 Moreover, the model produces a continuous function for the ODF, having a better physical
 397 significance.

398



399

400 **FIG. 9.** The experimental, θ_{Apparent} (\square) vs electric field E shown at a temperature of 1
 401 $^{\circ}\text{C}$ above the SmA^* - SmC^* phase transition temperature, T_{AC} , are fitted to various
 402 models: The hollow cone, the generalized mean Field (MF) and the 3 parameter MF
 403 one. A schematic representation of the ODFs for the corresponding three models is
 404 given in the inset.

405 The de Vries LCs normally exhibit large values of the electro-clinic coefficient
 406 (denoted by e) [19, 32, 33]. The electro-clinic response is recorded for the material adpc042
 407 in a planar-aligned cell. The experiment is carried out by keeping the smectic layer normal at
 408 an angle of 22.5° with respect to the polarizer. The light intensity I , transmitted through the
 409 LC cell, in the absence of the field E is given by:

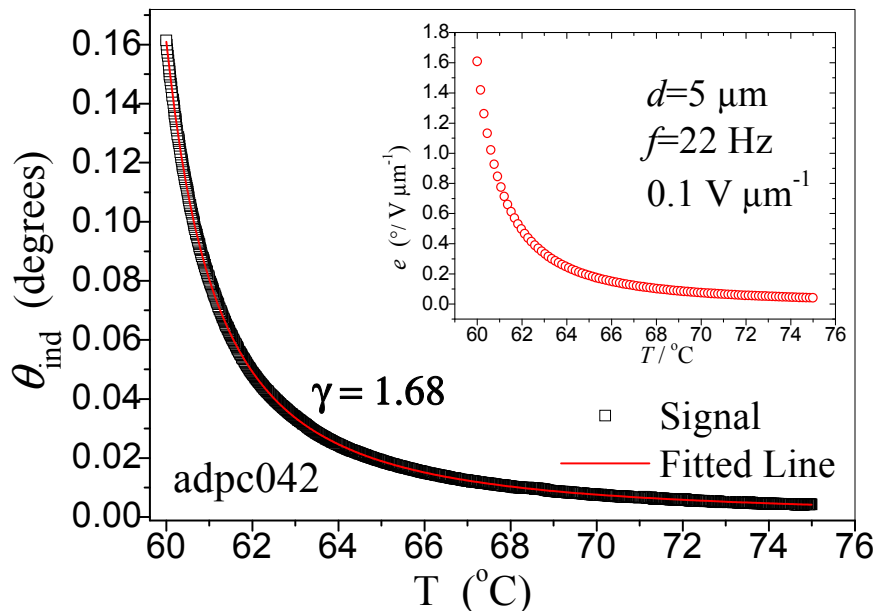
$$410 \quad I = I_0 \sin^2(2\alpha) \sin^2(\pi \Delta n d / \lambda) \quad (6)$$

411 I_0 is the incident intensity, α is the angle between the molecular director and the polarizer, Δn
 412 is the birefringence, d is the cell thickness, and λ is the wavelength of the incident light.
 413 When an electric field is applied across the cell, the transmitted intensity varies linearly with
 414 the induced tilt angle $\delta\alpha = \theta_{\text{ind}}$. Differentiating Eq. (6) with respect to α is given as:

$$415 \quad \delta I = 2I_0 \sin(4\alpha) \sin^2(\pi \Delta n d / \lambda) \theta_{\text{ind}} \quad (7)$$

416 From Eqns. (6) and (7) and for $\alpha = 22.5^{\circ}$ we obtain $\theta_{\text{ind}} = \delta I / 4I$. Figure 10 shows θ_{ind} as a
 417 function of temperature over the temperature range of SmA^* phase. The magnitude of θ_{ind}

418 increases with decreasing temperature. On approaching, T_{AC} , from the high temperature side
 419 of SmA^* , magnitude of θ_{ind} diverges and this corresponds to the divergence of the correlation
 420 length of the tilt domain and here the azimuthal angle is condensed to lie within narrower
 421 limits.



422
 423 **FIG. 10.** The temperature dependence of electro-clinic response θ_{ind} of adpc042 (\square):
 424 experimental value, red line (—): fitting to Eq. (7). The inset figure is the electro-
 425 clinic co-efficient e (red open circle \square) calculated using the Eq. $e = \theta_{ind}/E$ as a
 426 function of temperature. Experiments were conducted under cooling within the
 427 temperature range of SmA^* phase up to a temperature of $T = (T_{AC} + 1) ^\circ C$ by the
 428 application of $0.1 V_{0\text{-peak}} \mu m^{-1}$ (planar aligned cell, $d = 5 \mu m$ sine wave AC voltage of
 429 22 Hz).

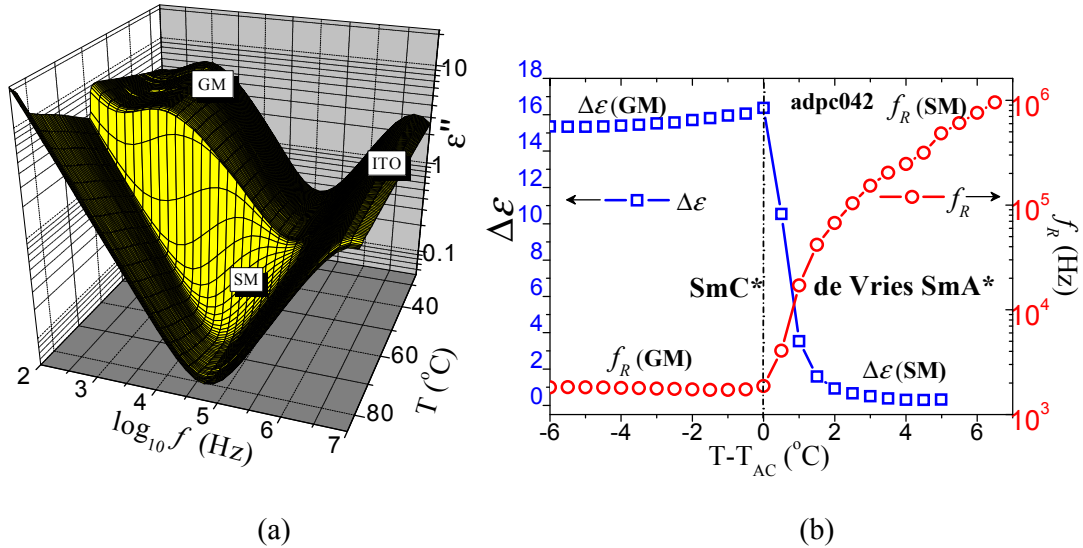
430 The amplitude of θ_{ind} with temperature can be expressed by the power law equation as
 431 follows [34]

$$432 \quad \theta_{ind} = \frac{c}{(T - T_{AC})^\gamma} \quad (8)$$

433 Here C is the scaling constant, T_{AC} is the SmA^* - SmC^* transition temperature and γ is the
 434 critical exponent. For the smectic material under investigation $\gamma = 1.68$. For a conventional
 435 SmA^* - SmC^* transition, γ is 1.33 [35]. Values of γ greater than 1.33 reflect the short-range
 436 correlation of molecular directors in three dimensions, again a characteristic of the de Vries
 437 smectics. The inset plot in Fig. 10 shows the temperature dependence of the electroclinic co-
 438 efficient e , calculated from the definition of $e = \theta_{ind}/E$.

439 **D. Dielectric Spectroscopy**

440 Figure 11a shows a three dimensional plot of temperature dependent dielectric loss
 441 spectra (ε'') of a planar aligned cell filled with the material adpc042 LC. Note that the
 442 temperature independent high frequency process is due to the resistance of ITO in series with
 443 the capacitance of the filled cell. The relaxation process in the SmA* phase corresponds to
 444 fluctuation of the tilt angle and this mode is known as the soft mode (SM). This arises mainly
 445 as the system approaches T_{AC} the elastic constant controlling the tilt fluctuations decreases or
 446 gets softer. The low frequency dielectric relaxations in the SmC* phase is associated with the
 447 Goldstone (GM) mode in which the molecular director is subjected to continual symmetry
 448 breaking.



449 (a) (b)
 450 **FIG. 11.** (a) A 3-D plot of temperature dependent dielectric loss spectra (ε'') of the
 451 compound adpc042 (cell thickness, $d=10 \mu\text{m}$) in a planar-aligned cell. The dielectric
 452 measurements are carried out under cooling. Temperature stabilization is $0.05 \text{ }^\circ\text{C}$ and the
 453 applied voltage is $0.1 \text{ V}_{\text{rms}}$ (b) Dielectric strength $\Delta\varepsilon$ (open blue squares \square) and the
 454 corresponding relaxation frequency f_R (red open circles \square) as a function of temperature.
 455 SM and GM refer to the soft and Goldstone modes, respectively.
 456

457 The dielectric spectra are analysed using the Novocontrol WINFIT programme. The
 458 temperature dependent dielectric strength $\Delta\varepsilon$ and the relaxation frequency f_R are obtained by
 459 fitting the dielectric spectra to the Havriliak-Negami Eq. [36]:

460
$$\varepsilon^*(\omega) = \varepsilon' - i\varepsilon'' = \varepsilon_\infty + \sum_{j=1}^n \frac{\Delta\varepsilon_j}{[1 + (i\omega\tau_j)^{\alpha_j}]^{\beta_j}} - \frac{i\sigma_{dc}}{\varepsilon_0\omega} \quad (9)$$

461 where, ε_∞ is the high frequency permittivity depending on the electronic and atomic
 462 polarizability, j is the number of relaxation processes which varies from 1 to n , $\omega=2\pi f$ is the
 463 angular frequency in radians, ε_0 is the free space permittivity, τ_j is relaxation time of the j^{th}
 464 process, $\Delta\varepsilon_j$ is the dielectric strength and α_j and β_j are the symmetric and asymmetric
 465 broadening parameters of the j^{th} dielectric relaxation process related to the distribution of
 466 relaxation times. The term $(-i\sigma_{dc}/\varepsilon_0\omega)$ gives the dielectric loss due to the ionic conduction at
 467 and is dominant at lower frequencies due to the inverse ω term. The relaxation frequency f_j of
 468 the j^{th} process is related to τ_j as [37]:

$$469 \quad f_j = \frac{1}{2\pi\tau_j} \left[\sin\left(\frac{\alpha_j\pi}{2+2\beta_j}\right) \right]^{1/\alpha_j} \left[\sin\left(\frac{\alpha_j\beta_j\pi}{2+2\beta_j}\right) \right]^{-1/\alpha_j} \quad (10)$$

470 The temperature dependencies of $\Delta\varepsilon$ and f_R are shown in Fig. 11b. The amplitude of
 471 $\Delta\varepsilon$ shows a pronounced increase when the system approaches T_{AC} from the SmA^* phase. The
 472 maximum value of $\Delta\varepsilon$ at T_{AC} is 16.8. The corresponding f_R is decreasing in the SmA^* phase
 473 with a sharper trend in its lower temperature range. Remarkably strong soft mode dielectric
 474 absorption is observed in the dielectric spectra of de Vries LCs in contrast to the compounds
 475 that exhibit a conventional SmA^* [38-41].

476 IV. CONCLUSION

477 We have designed and synthesised two 5-phenyl pyrimidine derivatives with siloxane
 478 and fluorocarbon chain terminations, both with a chiral (*R,R*)-2,3-epoxyhexoxy side chain.
 479 Mesophases formed by these compounds are investigated using a number of techniques:
 480 DSC, POM, XRD, electro-optical, and dielectric spectroscopy.

481 We find that the maximum layer contraction for the siloxane terminated adpc042 at a
 482 temperature of 13 °C below the SmA^* - SmC^* transition is 1.7% and a reduction factor R is
 483 0.37 on a scale of 1 to 0. This compound is considered to be a good “de Vries smectic” with
 484 the de Vries coefficient $C_{deVries}$ of 0.86 on the scale of 0 to 1. The soft mode dielectric
 485 relaxation strength $\Delta\varepsilon$ shows a critical behaviour when the system approaches SmA^* - SmC^*
 486 transition from the high temperature side. **The critical exponent of the electro-clinic response**
 487 **is found to be $\gamma = 1.68$. Value of the exponent is much greater than 1.33, found for most**
 488 **conventional smectics. Temperature dependence of the correlation length suggests that the**
 489 **material has de-Vries characteristics.**

490 We introduce a three parameter MF model for describing the ODF of the molecular
491 director in SmA* phase with a view of fitting the field-induced experimental apparent tilt
492 angle θ_{Apparent} data as a function of the electric field. Close to the SmA* - SmC* transition
493 temperature, the birefringence in SmA* phase is seen to decrease with a reduction in
494 temperature. This is due to the emergence of a non-zero value of cone angle θ_0 , the
495 magnitude of which increases to 17° as the SmA*-SmC* phase transition is approached. The
496 proposed model fits the experimental data on apparent tilt angle and leads to the diffuse-cone
497 ODF, at temperatures close to the phase transition temperatures. However θ_0 decreases with
498 an increase in temperature and the ODF displays a cross over from the diffuse-cone to the
499 sugar loaf at $\sim 3.5^\circ\text{C}$ above T_{AC} .

500 Acknowledgements

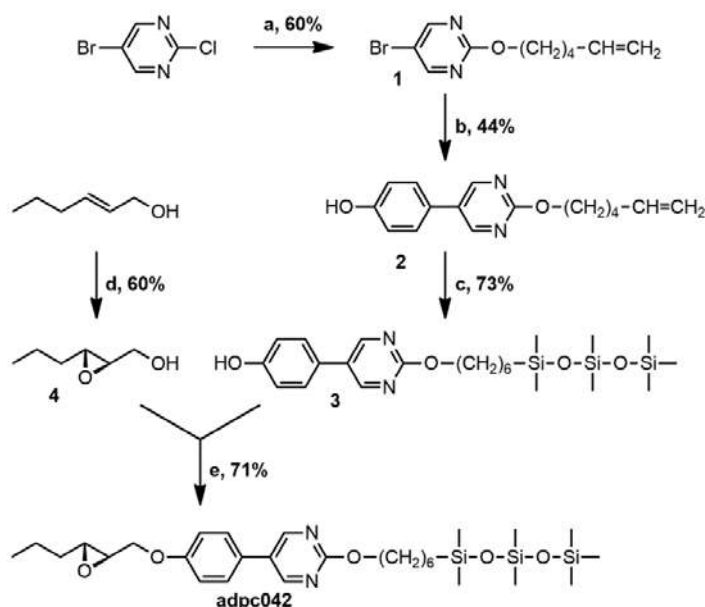
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506 1208 2014-17 project. We thank Professor Satyendra Kumar for co-ordinating the US-
507 Ireland project.

508 APPENDIX: SYNTHETIC PROCEDURE

509 All reagents were purchased from Sigma Aldrich, Fluorochem, Alfa Aesar, ABCR,
510 Synthonix and used without any further purification. Solvents were purchased from Sigma
511 Aldrich, DMF was purchased pre-dried, THF was dried using a sodium/benzophenone still
512 under N_2 and DCM was dried using CaH_2 . All reactions were generally carried out under
513 argon using oven-dried glassware. TLC plates were performed on Merck silica gel 60 F₂₅₄
514 and were visualized using a 254 nm light source. Flash column chromatography was
515 performed on Fluorochem silica gel 60 (40-63 micron).

516 IR spectra were recorded using a Perkin Elmer Spectrum Two FT-IR spectrometer. ^1H and
517 ^{13}C spectra were recorded at 25°C (CDCl_3 as solvent and TMS as reference) using a Bruker
518 400 MHz Ultrashield (Avance 400). HRMS spectra were recorded using a Waters – TOF
519 Electrospray micromass LCT premier. Optical rotations were recorded using a polarimeter
520 Perkin Elmer: model 341 Polarimeter.

521 The synthesis of adpc042 was carried out through the scheme shown in FIG. 12.

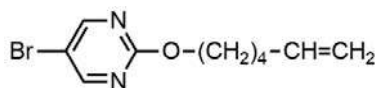


522

523 **FIG. 12.** General scheme for the preparation of **adpc042**

524 Further details of synthesis and characterization of each stage of intermediates are given
525 below:

526 The chemical structure of compound 1 is given in Fig. 13.



527

528 **FIG. 13.** Chemical structure of compound **1**

529 5-Hexen-1-ol (0.62 g, 6.2 mmol) was dissolved in dry toluene (15 mL) and sealed in a
530 schlenk under argon. Sodium (0.16 g, 7.00 mmol) was added as a solid and the solution was
531 stirred at 50 °C overnight. 5-Bromo-2-chloropyrimidine (1.00 g, 5.17 mmol) was added and
532 the solution stirred for 8 hours at 50 °C. The solution was filtered, water (20 mL) was added
533 and the crude extracted with ethyl acetate (3 x 30 mL). The product was purified by column
534 chromatography (ethyl acetate: hexane, 1:9, $R_f = 0.45$) to yield a colourless oil (0.80 g, 3.11
535 mmol, 60%).

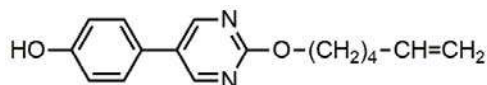
536 $^1\text{H NMR}$ (400 MHz, CDCl_3) δ : 8.51 (s, 2H), 5.81 (ddt, $J = 6.67, 6.67, 10.18, 16.91$, H), 4.98
537 (m, 2H), 4.33 (t, $J = 6.60$, 2H), 2.12 (m, 2H), 1.82 (m, 2H), 1.57 (m, 2H).

538 ^{13}C NMR (101 MHz, CDCl_3) δ : 164.15 (C), 159.75 (2CH), 138.59 (CH), 115.01 (CH_2),
539 111.79 (C), 68.42 (CH_2), 33.55 (CH_2), 28.36 (CH_2), 25.36 (CH_2).

540 IR (film): ν = 3076, 2936, 1640, 1570, 1432, 1332, 1176, 1122, 1024, 912, 794 cm^{-1} .

541 HRMS (EI): m/z calcd for $\text{C}_{20}\text{H}_{27}\text{N}_4\text{O}_2\text{Br}_2$ [$2\text{M} + \text{H}^+$] 513.0501, found: 513.0513.

542 The chemical structure of the compound **2** in Fig. 10 is given in Fig. 14 and the synthesis and
543 characterization are detailed below:



545 **FIG. 14.** Chemical structure of compound **2**

546 4-hydroxyphenylboronic acid (0.32 g, 2.33 mmol) and **1** (0.50 g, 1.94 mmol) were sealed in a
547 schlenk under argon. Toluene (12 mL) was added and the solution degassed for 5 minutes.
548 Degassed methanol (7 mL) and potassium carbonate dissolved in degassed water (3 mL)
549 were added to the schlenk. Tetrakis(triphenylphosphine)palladium(0) (0.11 g, 0.10 mmol)
550 was added as a solid and the solution was refluxed overnight. The crude was concentrated
551 and water (30 mL) was added before extraction with DCM (3 x 30 mL). The organic phase
552 was dried with magnesium sulphate and concentrated in vacuo. The product was purified by
553 column chromatography (ethyl acetate: DCM, 15:85, where $R_f = 0.57$ in ethyl acetate:
554 hexane, 1:1) to yield a white solid (0.23 g, 0.85 mmol, 44%).

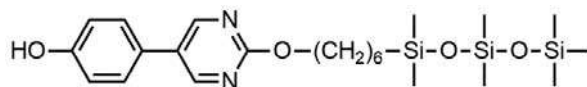
555 ^1H NMR (400 MHz, CDCl_3) δ : 8.66 (s, 2H), 7.90 (s, 1H), 7.37 (d, $J = 8.72$, 2H), 7.03 (d, $J =$
556 8.71), 5.79 (ddt, $J = 6.65, 6.65, 10.18, 16.91$, 1H), 4.97 (m, 2H), 4.42 (t, $J = 6.58$, 2H), 2.11
557 (m, 2H), 1.85 (m, 2H), 1.58 (m, 2H).

558 ^{13}C NMR (101 MHz, CDCl_3) δ : 164.22 (C), 157.17 (C), 156.95 (2CH), 138.61 (CH), 128.48
559 (C), 127.98 (2CH), 126.19 (C), 116.73 (2CH), 114.97 (CH_2), 68.06 (CH_2), 33.55 (CH_2),
560 28.48 (CH_2), 25.38 (CH_2).

561 IR (film): ν = 3099, 3022, 2950, 1602, 1558, 1434, 1326, 1270, 1180, 1072, 924, 834 cm^{-1} .

562 HRMS (EI): The molecular weight for $\text{C}_{16}\text{H}_{19}\text{N}_2\text{O}_2$ [$\text{M} + \text{H}^+$] was calculated as 271.1447
563 and found to be 271.1446.

564 The chemical structure of compound **3** is given in Fig. 15; synthesis and characterization are
565 detailed below:



567

FIG. 15. Chemical structure of compound **3**

568 **2** (0.22 g, 0.81 mmol) was dissolved in dry THF (12 mL) under argon. 1,1,1,3,3,5,5-
569 heptamethyltrisiloxane (0.35 g, 1.59 mmol) and platinum(0)-1,3-divinyl-1,1,3,3-
570 tetramethyldisiloxane (0.20 mL of 0.1M solution, 0.02 mmol) were added to the flask and the
571 solution was stirred for 2 hours. The crude is concentrated and purified by column
572 chromatography (ethyl acetate: hexane, 2:8, where $R_f = 0.30$ in ethyl acetate: hexane, 3:7) to
573 yield a colourless wax (0.29 g, 0.59 mmol, 73%).

574 **^1H NMR (400 MHz, CDCl_3) δ :** 8.65 (s, 2H), 7.39 (d, $J = 8.70$), 6.96 (d, $J = 8.72$, 2H), 5.37
575 (s, 1H), 4.39 (t, $J = 6.72$), 1.83 (m, 2H), 1.42 (m, 6H), 0.54 (m, 2H), 0.08 (s, 9H), 0.06 (s,
576 6H.), 0.02 (s, 6H).

577 **^{13}C NMR (101 MHz, CDCl_3) δ :** 164.71 (C), 157.08 (CH), 156.18 (C), 128.11 (CH), 128.08
578 (C) 127.30 (C), 116.48 (CH), 68.19 (CH_2), 33.36 (CH_2), 29.07 (CH_2), 25.91 (CH_2), 23.39
579 (CH_2), 18.47 (CH_2), 2.04 (3 CH_3), 1.50 (2 CH_3), 0.41 (3 CH_3).

580 **IR (film):** $\square = 2958, 1600, 1442, 1332, 1258, 1048, 840 \text{ cm}^{-1}$.

581 **HRMS (EI):** m/z calcd for $\text{C}_{23}\text{H}_{41}\text{N}_2\text{O}_4\text{Si}_3$ [$\text{M} + \text{H}^+$] 493.2374, found: 493.2379.

582 The chemical structure of compound **4** is given in Figure **16** and other details are followed
583 below:



584

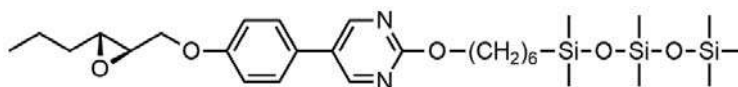
585

FIG. 16. Chemical structure of compound **4**

586 Trans-2-hexen-1-ol (1.00 g, 9.98 mmol), Shi Epoxidation Diketal Catalyst (0.77 g, 3.00
587 mmol) and Tetrabutylammonium hydrogensulfate (0.06 g, 0.18 mmol) were dissolved in
588 dimethoxymethane/acetonitrile (100 mL, 2:1). Acetic acid (0.35 mL) was added to potassium
589 carbonate (70 mL of 0.1M solution) and this was added to the reaction which was then cooled
590 to $-10 \text{ }^\circ\text{C}$. Oxone (8.48 g, 13.78 mmol) was dissolved in ethylenediaminetetraacetic acid
591 disodium salt (50 mL of 0.004M solution in water) and potassium carbonate (8.00 g, 57.91
592 mmol) was dissolved in water (50mL). The oxone and the carbonate solution were added to
593 the reaction flask dropwise over 3 hours while keeping the temperature below $0 \text{ }^\circ\text{C}$. Water
594 was added and the organic phase extracted with DCM (3 x 50 mL) before drying with
595 magnesium sulphate and concentrating the solution. The epoxide was purified by column
596 chromatography (hexane: diethyl ether, 2:1 \rightarrow 100% ether once product appears, $R_f = 0.53$ in

597 DCM: ethyl acetate, 1:1) to yield a colourless oil (0.70 g, 5.99 mmol, 60%). The column was
598 compacted using hexane: diethyl ether, 2:1 and 1% triethylamine to neutralize the silica.
599 **¹H NMR (400 MHz, CDCl₃) δ:** 3.91 (ddd, *J* = 2.58, 5.58, 12.53, 1H), 3.63 (ddd, *J* = 4.30,
600 7.29, 12.52), 2.96 (td, *J* = 2.36, 5.50, 5.65, 1H), 2.92 (m, 1H), 1.74 (m), 1.50 (m, 3H), 0.96 (t,
601 *J* = 7.26, 3H).
602 **¹³C NMR (101 MHz, CDCl₃) δ:** 61.93 (CH₂), 58.55 (CH), 56.01 (CH), 33.78 (CH₂), 19.47
603 (CH₂), 14.10 (CH₃).
604 **IR (film):** $\bar{\nu}$ = 3418, 2962, 2874, 1650, 1464, 1382, 1220, 1046, 900, 849 cm⁻¹.
605 **[α]_D²⁰:** +38.75 (c 0.022, CHCl₃).

606 The chemical structure of adpc042 is given in Fig. 17 and other details are followed below:



607

608

FIG. 17. Chemical structure of compound **adpc042**

609 **3** (0.56 g, 1.14 mmol), **4** (0.12 g, 1.03 mmol) and triphenylphosphine (0.33 g, 1.26 mmol)
610 were dissolved in dry THF (20 mL) under argon. Diethyl azodicarboxylate (0.22 g, 1.24
611 mmol) was dissolved in dry THF (8 mL) under argon before being added dropwise to the
612 reaction flask. The flask was stirred overnight and then concentrated. The product was
613 purified by column chromatography (ethyl acetate: hexane, 1:9, where *R_f* = 0.60 in ethyl
614 acetate: hexane, 3:7) to yield a white wax (0.43 g, 0.73 mmol, 71%).

615 **¹H NMR (400 MHz, CDCl₃) δ:** 8.65 (s, 2H), 7.43 (d, *J* = 8.81, 2H), 7.02 (d, *J* = 8.81, 2H),
616 4.38 (t, *J* = 6.71, 2H), 4.23 (dd, *J* = 3.34, 11.08, 1H), 4.02 (dd, *J* = 5.52, 11.08), 3.13 (ddd, *J* =
617 2.29, 3.27, 5.53, 1H), 2.98 (td, *J* = 2.20, 5.53, 5.64, 1H), 1.83 (m, 2H), 1.65-1.30 (m, 10H),
618 0.99 (t, *J* = 7.28), 0.54 (m, 2H), 0.08 (s, 9H), 0.06 (s, 6H), 0.01 (s, 6H).

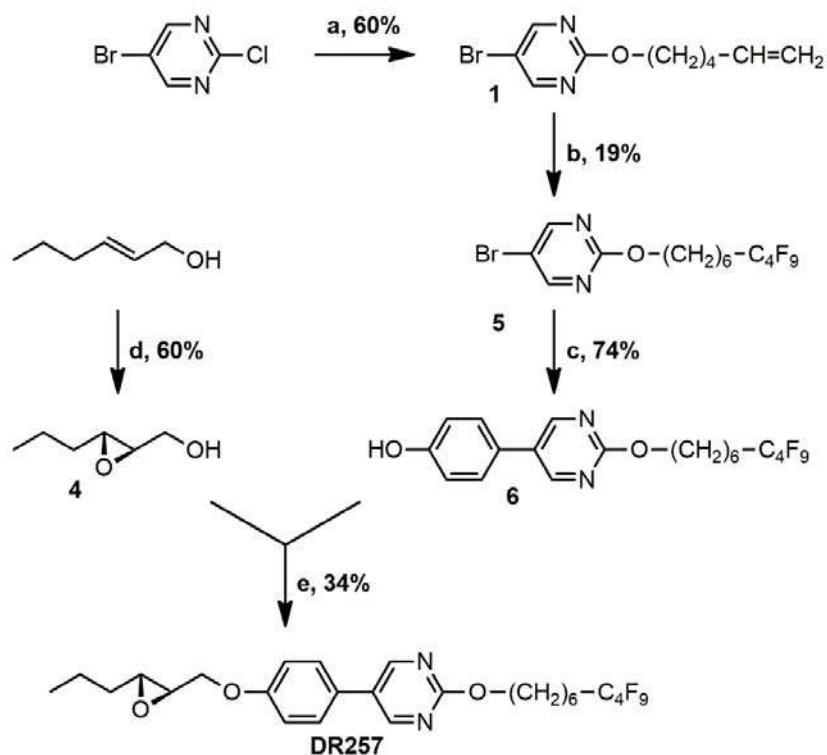
619 **¹³C NMR (101 MHz, CDCl₃) δ:** 164.76 (C), 158.92 (C), 157.10 (2CH), 127.95 (C), 127.89
620 (2CH), 127.68 (C), 115.71 (2CH), 68.82 (CH₂), 68.15 (CH₂), 56.66 (CH), 56.22 (CH), 33.84
621 (CH₂), 33.36 (CH₂), 29.06 (CH₂), 25.91 (CH₂), 23.39 (CH₂), 19.44 (CH₂), 18.46 (CH₂), 14.10
622 (CH₃), 2.04 (3CH₃), 1.50 (2CH₃), 0.40 (2(CH₃)).

623 **IR (film):** $\bar{\nu}$ = 2958, 1598, 1548, 1448, 1256, 1048, 840 cm⁻¹.

624 **HRMS (EI):** *m/z* calcd for C₂₉H₅₁N₂O₅Si₃ [*M* + H⁺] 591.3096, found: 591.3097.

625 **[α]_D²⁰:** +9.74 (c 0.029, CHCl₃).

626 The synthesis of DR257 was carried out through the scheme shown in FIG. 18, further details
627 of each stage are provided below.

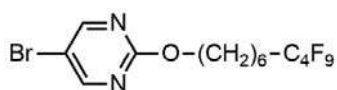


628

629

FIG. 18. General scheme for the preparation of **DR257**

630 Synthesis and characterization of the intermediates are given below. Structure of the
631 compound 5 is given in Fig. 19 and details follow below:



632

633

FIG. 19. The Chemical structure of compound 5

634 $\text{Na}_2\text{S}_2\text{O}_4$ (0.040 g, 0.230 mmol) and Na_2HPO_4 (0.039 g, 0.276 mmol) were added to a
635 mixture of 1-iodoperfluorobutane (0.955 g, 2.76 mmol), the alkene 1 (0.590 g, 2.30 mmol) in
636 $\text{H}_2\text{O}/\text{CH}_3\text{CN}$ (12 mL 1:3) [42]. The mixture was stirred overnight at room temperature. Et_2O
637 and H_2O were added to the mixture and the phases were separated. The organic phase was
638 extracted with Et_2O (2 X 10 mL) and the combined organic phase was dried with magnesium
639 sulphate. The solvent was removed at reduce pressure to led a yellow oil. This iodinated
640 intermediate was used without further purification. Bu_3SnH (0.802 g, 2.76 mmol) and a
641 catalytic amount of AIBN (12 mg) were added to the oil. The reaction mixture was heated to

642 110°C for 24 h. The solvent was removed and the crude of reaction was purified by column
643 chromatography on silica gel (hexane:ethyl acetate = 9:1) to give **5** (0.208 g, 19% yield).

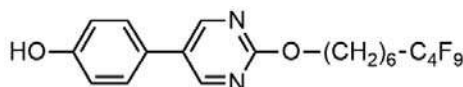
644 ¹H NMR (400 MHz, CDCl₃) δ: 8.51 (s, 2H), 4.33 (t, *J* = 6.5, 2H), 2.17 – 1.95 (m, 2H), 1.90
645 – 1.75 (m, 2H), 1.63 (m, 2H), 1.55 – 1.39 (m, 4H).

646 ¹³C NMR (101 MHz, CDCl₃) δ: 164.11 (C), 159.79 (2CH), 111.89 (C), 68.32 (CH₂), 31.15
647 (CH₂), 30.70 (t, *J* = 22.3, CH₂), 29.00 (CH₂), 28.69 (CH₂), 25.83 (CH₂), 20.29 (t, *J* = 3.7,
648 CH₂).

649 ¹⁹F NMR (376 MHz, CDCl₃) δ: -81.07, -114.61, -124.51, -126.06.

650 HRMS (EI): molecular weight calculated for C₁₄H₁₅BrF₉N₂O [M + H⁺] 477.0224 g; found-as
651 477.0208 g.

652 The chemical structure of compound **6** is given in Fig. 20 and other details follow:



653

654 **FIG. 20.** Chemical structure of compound **6**

655 4-hydroxyphenylboronic acid (0.070 g, 0.501 mmol) and **5** (0.220 g, 0.462 mmol) were
656 sealed in a schlenk under argon. Toluene (12 mL) was added and the solution degassed for 5
657 minutes. Degassed methanol (4 mL) and potassium carbonate dissolved in degassed water (1
658 mL) were added to the schlenk. Tetrakis(triphenylphosphine)palladium(0) (0.029 g, 0.025
659 mmol) was added as a solid and the solution was refluxed overnight. The crude was
660 concentrated and water (10 mL) was added before extraction with DCM (3 x 10 mL). The
661 organic phase was dried with magnesium sulphate and concentrated in vacuo. The product
662 was purified by column chromatography (ethyl acetate:hexane, 3:7, where *R_f* = 0.35 in ethyl
663 acetate: hexane, 3:7) to yield a white solid (0.169 g, 0.345 mmol, 75%).

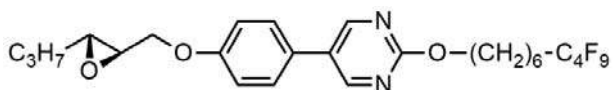
664 ¹H NMR (400 MHz, CDCl₃) δ: 8.65 (s, 2H), 7.39 (d, *J* = 8.6, 2H), 6.96 (d, *J* = 8.5, 2H), 5.58
665 (s, 1H), 4.39 (m, 2H), 2.07 (m, 2H), 1.86 (m, 2H), 1.65 (m, 2H), 1.57 – 1.42 (m, 4H).

666 ¹³C NMR (101 MHz, CDCl₃) δ: 164.56 (C), 157.09 (2CH), 156.32 (C), 128.25 (C), 128.09
667 (2CH), 127.09 (C), 116.51 (2CH), 67.79 (CH₂), 30.94 (t, *J* = 22.3, CH₂), 29.05 (CH₂), 28.86
668 (CH₂), 25.90 (CH₂), 20.28 (t, *J* = 3.7, CH₂).

669 ¹⁹F NMR (376 MHz, CDCl₃) δ: -81.07, -114.62, -124.51, -126.06.

670 HRMS (EI): The molecular weight for C₂₀H₂₀F₉N₂O₂ [M + H⁺] calculated as 491.1381 g, but
671 was found as 491.1389 g.

672 The chemical structure of compound DR257 is given in Fig. 21 and the other details follow:



673

674

FIG. 21. Chemical structure of compound **DR257**

675 **6** (0.220 g, 0.449 mmol), **4** (0.048 g, 1.03 mmol) and triphenylphosphine (0.129 g, 0.490
 676 mmol) were dissolved in dry THF (10 mL) under argon. Diethyl azodicarboxylate (0.085 g,
 677 0.490 mmol) was dissolved in dry THF (4 mL) under argon before being added dropwise to
 678 the reaction flask. The flask was stirred overnight and then concentrated. The product was
 679 purified by column chromatography (ethyl acetate: hexane, 1.5:9, where $R_f = 0.60$ in ethyl
 680 acetate: hexane, 3:7) to yield a white powder (0.82 g, 0.140 mmol, 34%).

681 **^1H NMR (400 MHz, CDCl_3) δ :** 8.65 (s, 2H), 7.42 (m, 2H), 7.01 (m, 2H), 4.39 (t, $J = 6.5$,
 682 2H), 4.23 (dd, $J = 11.1, 3.3$, 1H), 4.01 (dd, $J = 11.1, 5.5$, 1H), 3.12 (m, 1H), 2.97 (m, 1H),
 683 2.06 (m, 2H), 1.90 – 1.80 (m, 2H), 1.69 – 1.40 (m, 10H), 0.98 (t, $J = 7.3$, 3H).

684 **^{13}C NMR (101 MHz, CDCl_3) δ :** 164.63 (C), 158.94 (C), 157.09 (2CH), 128.08 (C), 127.87
 685 (2CH), 127.56 (C), 115.70 (2CH), 68.81 (CH_2), 67.72 (CH_2), 56.61 (CH), 56.20 (CH), 33.81
 686 (CH_2), 30.91 (t, $J = 22.3$, CH_2), 29.02 (CH_2), 28.84 (CH_2), 25.88 (CH_2), 20.25 (t, $J = 3.7$,
 687 CH_2), 19.41 (CH_2), 14.06 (CH_3).

688 **^{19}F NMR (376 MHz, CDCl_3) δ :** -81.08, -114.63, -124.52, -126.08.

689 **HRMS (EI):** m/z calcd for $\text{C}_{26}\text{H}_{30}\text{F}_9\text{N}_2\text{O}_3$ [$\text{M} + \text{H}^+$] 589.2097, found 589.2104.

690 **$[\alpha]_D^{20}$:** +8.868 (c 0.0106, CHCl_3).

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