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# Numerical modelling of thermal phenomenon in friction stir welding of aluminum plates

**R Vaira Vignesh, R Padmanaban\*, M Arivarasu, S Thirumalini, J Gokulachandran and Mutyala Sessa Satya Sai Ram**

Department of Mechanical Engineering, Amrita School of Engineering, Coimbatore, Amrita Vishwa Vidyapeetham, Amrita University, India

dr\_padmanaban@cb.amrita.edu

**Abstract.** Friction stir welding (FSW) is a solid state welding process with potential to join materials that are non weldable by conventional fusion welding techniques. The study of heat transfer in FSW aids in the identification of defects like flash, inadequate heat input, poor material flow and mixing etc. In this paper, transient temperature distribution during FSW of aluminum alloy AA6061-T6 was simulated using finite element modelling. The model was used to predict the peak temperature and analyse the thermal history during FSW. The effect of process parameters namely tool rotation speed, tool traverse speed (welding speed), shoulder diameter and pin diameter of tool on the temperature distribution was investigated using two level factorial design. The model results were validated using the experimental results from the published literature. It was found that peak temperature was directly proportional to tool rotation speed and shoulder diameter and inversely proportional to tool traverse speed. The effect of pin diameter on peak temperature was found to be trivial.

## 1. Introduction

The necessity in automotive industry for light weighting, demands for selection and joining of lightweight materials with precision [1-3]. Aluminum is the primary choice in the drive for lean weight vehicles. Conventional fusion welding process fail to produce sound welds in aluminum alloys due to the formation of aluminum oxide layer. Friction Stir Welding is a solid state welding technique in which material is joined by the heat generated due to the combined effect of rotation and sliding motion of the tool under an axial load. The schematic of FSW is given in Figure 1. The heat generation due to friction and plastic flow results in defect free high quality welds in ferrous and non-ferrous materials [4]. FSW can be used to join most of the aluminum alloys which are difficult to weld by conventional fusion processes. The potential scope for FSW lies with joining non-ferrous materials ranging from aluminum, copper, lead, titanium, zinc and alloys etc.

In FSW process, a specially shaped rotating tool is made to traverse through the abutting faces of the joint. The relative motion between the tool and the substrate generates frictional heat. The translation of the rotating tool along the joint line (i.e. forward) under axial load causes plasticised material flow resulting in solid phase joint behind the tool [5-7]. If the tool is modelled as traversing



heat source, the traverse of tool would necessitate a complex model. In this paper, a moving coordinate system fixed at the tool axis was used for the analysis. The heat transfer of the plates become a stationary heat convection – heat conduction problem after the transformation of coordinate system. In this model, the plates were assumed to be infinite in length. The heat transfer analysis is neglected near the edge boundaries of the plate [8]. In this paper, the effect of tool rotation speed (TRS), tool traverse speed (TTS), shoulder diameter (SD) and pin diameter (PD) on temperature distribution was investigated using factorial design.

## Nomenclature

Sl.	Name	Description	Units
1	$T_o$	Ambient temperature	K
2	$T_{melt}$	Melting temperature of work piece	K
3	$h_u$	Heat transfer coefficient on upside of work piece	W / m <sup>2</sup> K
4	$h_d$	Heat transfer coefficient on downside of work piece	W / m <sup>2</sup> K
5	$\epsilon$	Surface emissivity	-
6	U	Welding speed or Tool traverse speed	m / s
7	$\mu$	Coefficient of friction	-
8	N	Tool rotation speed	Rpm
9	$\omega$	Angular velocity	rad / s
10	$F_n$	Plunge force	N
11	$r_{pin}$	Radius of pin	Mm
12	$r_{shoulder}$	Radius of shoulder	Mm
13	$q_{pin}$	Pin heat source	W
14	$q_{shoulder}$	Shoulder heat source	W
15	$q_u$	Heat flux on upper side of work piece	W / m <sup>2</sup>
16	$q_d$	Heat flux on lower side of work piece	W / m <sup>2</sup>
17	$A_s$	Surface area of shoulder	m <sup>2</sup>
18	$\rho$	Density	kg / m <sup>3</sup>

## 2. Finite Element Modelling

The FSW model used in this study generated using Comsol Multi physics 5.0 software. The model geometry is shown in Figure 2. The dimensions of the model were 400 mm × 200 mm × 3 mm surrounded by two infinite domains along x - axis. The model developed is as shown in the Figure 2. Non uniform boundary conditions were defined as the temperature at the upper side of the work piece changes with respect to time and variation in process parameters [8].

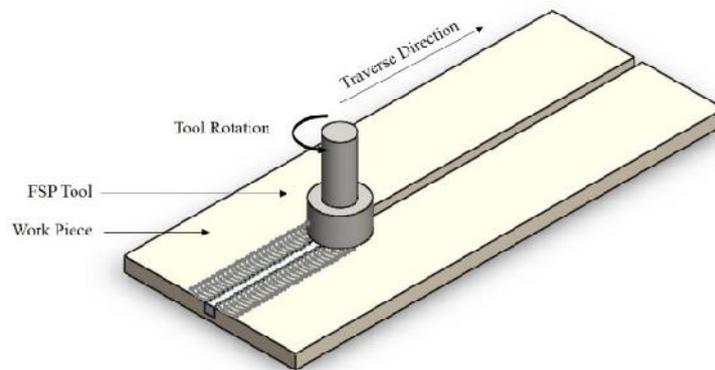


Figure 1 Schematic of FSW of aluminum plates

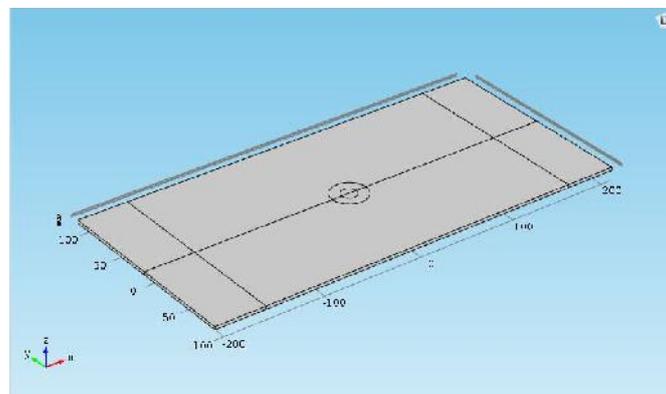


Figure 2 Model geometry of FSW

2.1. Heat transfer

The convective boundary condition specifies the heat transfer between the upper side of the work piece and the environment. As convective heat losses occur in all the free surfaces, convection co-efficient of  $h_u$  and  $h_d$  was applied to the upside and downside surfaces of the work piece. The contact conductance between the downside of the work piece and backing plate was modelled by an enhanced heat transfer coefficient. The surface boundary condition at the interface of the tool - workpiece was calculated from the frictional heat.

The heat transfer in the plate is governed by the following equation (1).

$$\rho C_p u \cdot \nabla T + \nabla \cdot (-k\nabla T) = Q \tag{1}$$

The upper side and down side of the plate loss heat due to natural convection and due to surface to ambient condition radiation. The corresponding heat fluxes at the upper and lower surface are given by the following equation (2) and equation (3).

$$q_u = h_u(T_0 - T) + \epsilon \sigma (T_{amb}^4 - T^4) \tag{2}$$

$$q_d = h_d(T_0 - T) + \epsilon \sigma (T_{amb}^4 - T^4) \tag{3}$$

2.2. Heat generation

The heat generated at the interface between the tool's pin and the plate as surface heat source is given by the following equation (4).

$$q_{pin}(T) = \frac{\mu}{\sqrt{3(1 + \mu)^2}} r_p \omega Y(T) \tag{4}$$

Where Y(T) is the average shear stress as a function of temperature. This function has been interpolated from the experimental results.

The heat generated at the interface between the tool’s shoulder and the plate as surface heat source is given by the following equation (5).

$$q_{shoulder}(r, T) = \begin{cases} \mu (F_n / A_s) \omega r, & \text{if } T < T_{melt} \\ 0, & \text{if } T > T_{melt} \end{cases} \tag{5}$$

**2.3. Material property**

The coefficient of friction was taken as 0.27. The temperature dependent yield stress of the material was correlated with the viscosity of the material as given in Figure 3. The temperature dependent properties in the present work can be found in the literature elsewhere [9-16]. The plot of Yield stress vs Temperature was obtained from the experimental work [17].

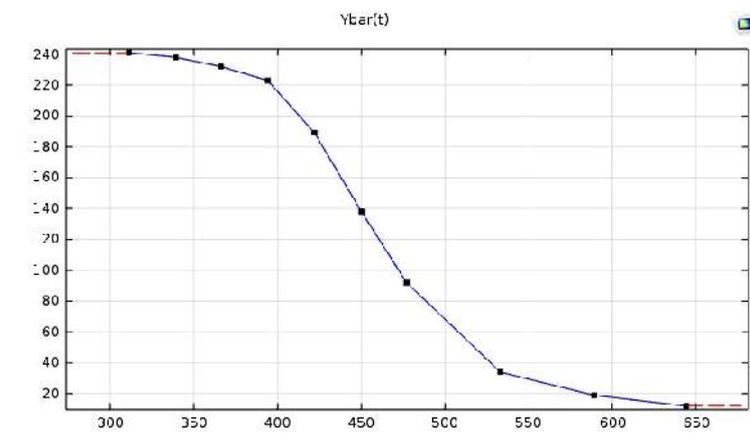


Figure 3 Yield stress vs Temperature for AA6061-T6 [17]

**3. Model validation**

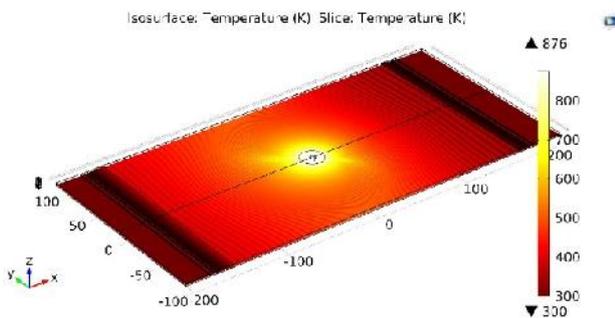


Figure 4 Iso surface temperature plot

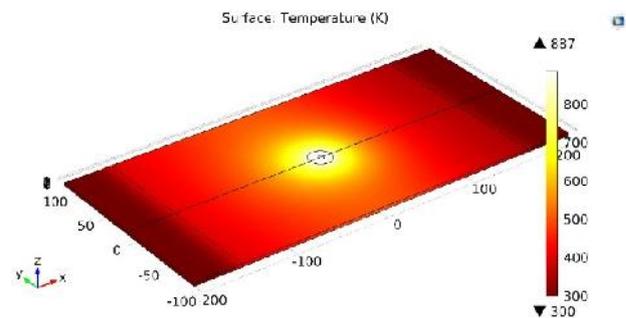


Figure 5 Surface temperature plot

The model was first applied to simulate the experimental work on FSW of AA 6061-T6 carried out by Hwang et al [18]. The experimental temperature measurements was made using four thermo couple units placed equally at a distance of 5 mm, along the traverse direction of the tool. The TRS, TTS, SD and PD was 920 rpm, 0.33 mm/s, 12 mm and 3 mm respectively. The temperature profile of the

friction stir welded AA6061-T6 plates using the developed model is as shown in the Figure 4 and Figure 5 .

In the model, the temperature of the plate was measured using 10 probes equally spaced at a distance of 5 mm from the pin of the tool. The computed temperature of the plate at different probes are as given in Table 1.

Table 1 Computed temperature of the validation model (in Kelvin)

TRS	TTS	SD	PD	Probe 1	Probe 2	Probe 3	Probe 4	Probe 5	Probe 6	Probe 7	Probe 8	Probe 9
920	0.33	12	3	463.38	503.35	556.62	624.24	663.20	597.28	513.31	452.91	411.14

The comparison of predicted temperature with experimental values is depicted Figure 6. As noted in the Figure 6, a very close agreement is observed between the computed and experimental temperatures. The minor difference in temperature between the experimental and computed value at is attributed to the assumed convective boundary condition for the backing plate in the generated model [19]. Thus the temperature prediction efficacy of the generated model was validated.

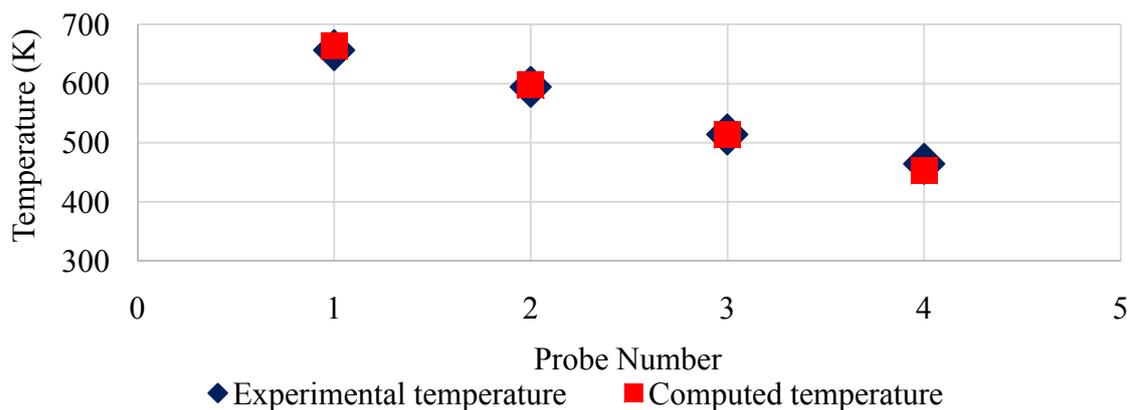


Figure 6 Temperature vs Displacement for FSW AA6061-T6 (Experimental and Computed)

**4. Experimental design**

It is evident from the equations equation (1), equation (4) and equation (5) that the TRS, TTS, SD and PD process parameters play a vital role in the heat transfer during FSW. The main and combined effect of these parameters upon the peak temperature ( $T_p$ ) near the pin was analysed using  $2^k$  factorial design.

Table 2 Coded and Real value

Coded Value	Real Value of process parameters			
	TRS (rpm)	TTS (mm / s)	SD (mm)	PD (mm)
-1	900	0.50	15	5
1	1200	1.00	21	7

The parameters and their levels are shown in Table 2. Four parameters with two levels was chosen for conducting experiments in this study. The experimental design matrix has sixteen factorial runs.

## 5. Results and Discussion

The  $T_p$  obtained during FSW of aluminum plates with various process parameters is as given in Table 3. It is observed that the  $T_p$  obtained is independent of variation in PD.

Table 3 Computed peak temperature during FSW

Sl.	Real value of process parameters				Computed $T_p$ (K)
	TRS (rpm)	TTS (mm/s)	SD (mm)	PD (mm)	
1	900	0.5	15	5	696.96
2	900	0.5	15	7	697.07
3	900	0.5	21	5	765.88
4	900	0.5	21	7	765.90
5	900	1	15	5	683.49
6	900	1	15	7	683.59
7	900	1	21	5	756.50
8	900	1	21	7	756.56
9	1200	0.5	15	5	697.14
10	1200	0.5	15	7	697.23
11	1200	0.5	21	5	766.06
12	1200	0.5	21	7	766.11
13	1200	1	15	5	683.68
14	1200	1	15	7	683.76
15	1200	1	21	5	756.72
16	1200	1	21	7	756.95

As the variation of PD (5 mm and 7 mm) has insignificant effect on the temperature distribution, the plots obtained were similar. Hence the surface plot of the temperature profile (for both PD) is as given in Figure 7. The Pareto chart of the effects of process parameters on obtaining the peak temperature is given in Figure 8. It is observed that the SD is very imperative in obtaining the peak temperature. Evidently the TTS also plays vital role in obtaining the  $T_p$ . The TRS also contributes to obtain  $T_p$  as the heat generation in pin is proportional to the angular velocity of the tool.

The main effects of the process parameters in obtaining the  $T_p$  during FSW is shown in Figure 9. The heat generation at the pin is proportional to the TRS. But the effect of TRS in heat generation is lower than that of the other parameters and their combined effect. The amount of heat produced is dependent on the contact area of tool with that of the work piece. Hence the increase of SD essentially increased the  $T_p$  obtained during FSW. The TTS affects the generation of heat at the interface and transfer of heat to the plates from the tool's pin. The amount of heat transferred and frictional heat generated is proportional to the contact time of tool – workpiece interface. The increase in TTS results in decreased contact time. So there is reduction in  $T_p$  with increase in TTS.

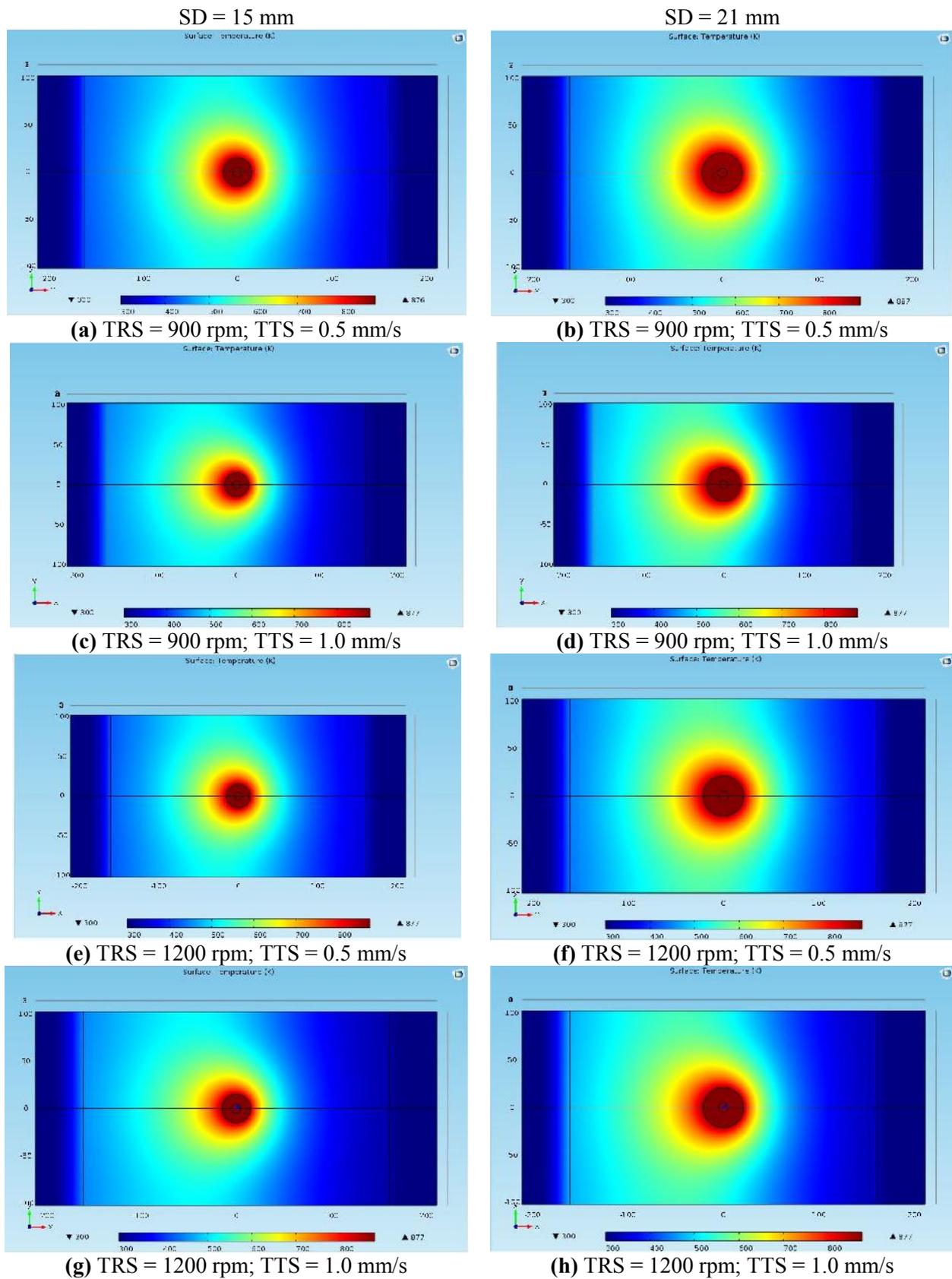


Figure 7 Surface Temperature plot of FSW with various process paramters @ PD = 5 mm and 7 mm

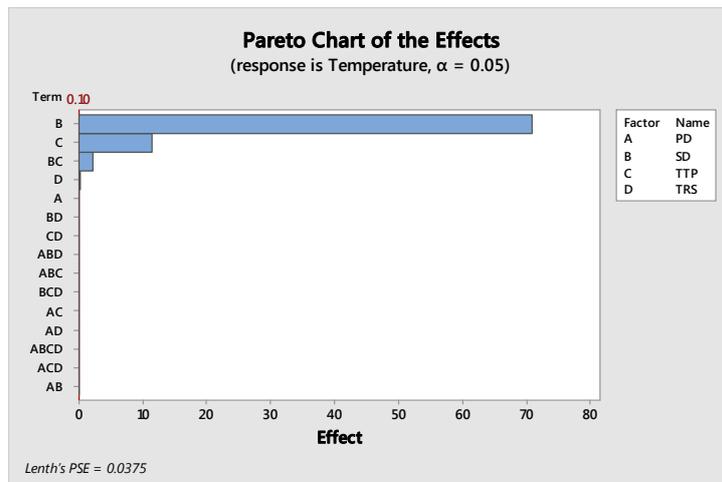


Figure 8 Pareto chart of the process parameters

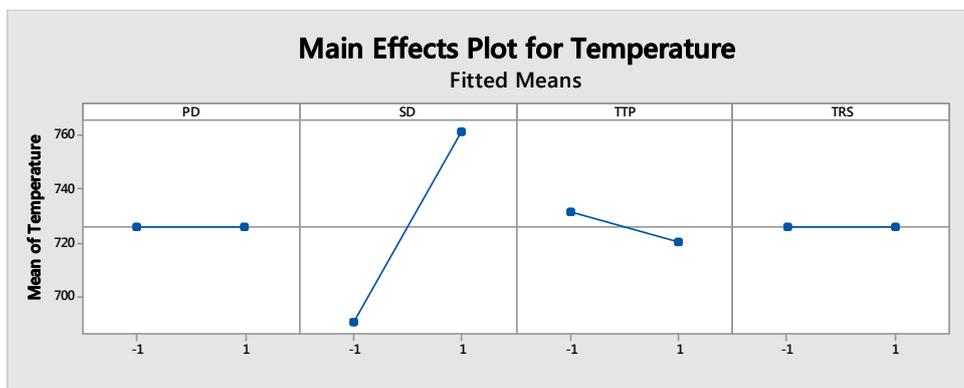


Figure 9 Main effects of process parameters

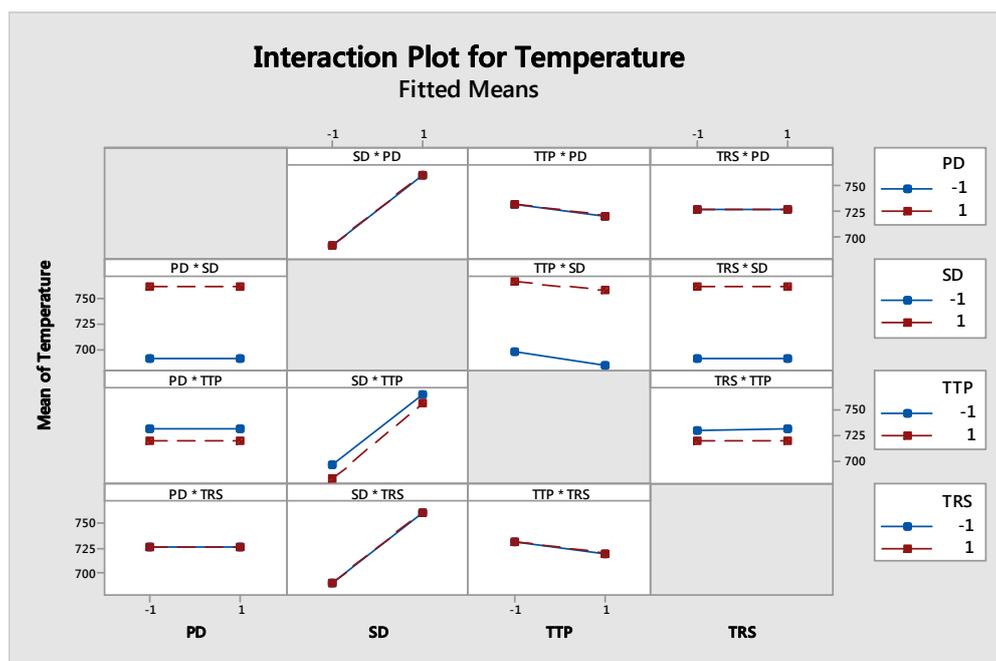


Figure 10 Interaction effects of parameters

The interaction effect of the process parameters in obtaining the  $T_p$  is as shown in Figure 9. The combined effects of the process parameters are same irrespective of the variation in process level. As discussed earlier, the interaction of PD\*TRS is less significant. The increase in SD (SD\*TRS) increased the  $T_p$  and increase in TTP (TTP\*TRS) decreased the  $T_p$ . The combined effect of PD\*TTP, SD\*TTP and TRS\*TTP is such that at high level of TTS, there is significant decrease in the  $T_p$ . The interaction of PD\*TTP and TRS\*TTP is less significant. The increase in SD (SD\*TTP) decreased  $T_p$  irrespective of TTP. The increased surface area resulted in heat generation at the interface of tool – workpiece. But the heat transfer rate from tool to the workpiece is reduced at high TTS resulting in reduced  $T_p$ . The combined effect of PD\*SD, TTP\*SD and TRS\*SD is such that increase in SD increased the  $T_p$ . The increase in SD of PD\*SD and TRS\*SD increased the  $T_p$ . The combined effect of SD\*PD, TTP\*PD and TRS\*PD is same irrespective of the variation. The increase in SD (SD\*PD) increased the  $T_p$  and increase in TTP (TTP\*PD) decreased the  $T_p$ .

## 6. Conclusion

Numerical model for the heat transfer during friction stir welding of AA6061-T6 was generated. The temperature profile was found to be asymmetric and the  $T_p$  reached was between 85-90% of the liquidus temperature of the material welded. The temperature profile was dependent more on SD and TTS and TRS. The variation of PD has very insignificant role in obtaining the  $T_p$ . Specifically increase in SD increased the  $T_p$  during FSW.

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