

## Coefficient Bounds for Certain Subclasses of Bi-univalent Functions

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**Abstract.** In this paper, we introduce and investigate two new subclasses of the function class  $\Sigma$  of bi-univalent functions. Also, we find estimates of  $|a_2|$  and  $|a_3|$ . Some related consequences of the results are also pointed out.

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### 1. INTRODUCTION

Let  $\mathcal{A}$  denote the class of functions of the form

$$(1.1.1) \quad f(z) = z + \sum_{n=2}^{\infty} a_n z^n$$

which are analytic in the open unit disc  $\mathbb{U} = \{z : z \in \mathbb{C} \text{ and } |z| < 1\}$ . Further, by  $\mathcal{S}$  we shall denote the class of all functions in  $\mathcal{A}$  which are univalent in  $\mathbb{U}$ .

Some of the important and well-investigated subclasses of the univalent function class  $\mathcal{S}$  include (for example) the class  $\mathcal{S}^*(\alpha)$  of starlike functions of order  $\alpha$  in  $\mathbb{U}$  and the class  $\mathcal{K}(\alpha)$  of convex functions of order  $\alpha$  in  $\mathbb{U}$ . By definition, we have

$$(1.1.2) \quad \mathcal{S}^*(\alpha) := \left\{ f : f \in \mathcal{S} \text{ and } \Re \left( \frac{zf'(z)}{f(z)} \right) > \alpha; z \in U; 0 \leq \alpha < 1 \right\}$$

and

$$(1.1.3) \quad \mathcal{K}(\alpha) := \left\{ f : f \in \mathcal{S} \text{ and } \Re \left( 1 + \frac{zf''(z)}{f'(z)} \right) > \alpha; z \in U; 0 \leq \alpha < 1 \right\}.$$

It readily follows from the definitions (1.1.2) and (1.1.3) that

$$f \in \mathcal{K}(\alpha) \iff zf' \in \mathcal{S}^*(\alpha).$$

It is well known that every function  $f \in \mathcal{S}$  has an inverse  $f^{-1}$ , defined by  $f^{-1}(f(z)) = z$ ,  $z \in \mathbb{U}$  and  $f(f^{-1}(w)) = w$ ,  $|w| < r_0(f)$ ;  $r_0(f) \geq \frac{1}{4}$ , where

$$(1.1.4) \quad f^{-1}(w) = w - a_2w^2 + (2a_2^2 - a_3)w^3 - (5a_2^3 - 5a_2a_3 + a_4)w^4 + \dots$$

A function  $f \in \mathcal{A}$  is said to be bi-univalent in  $\mathbb{U}$  if both  $f(z)$  and  $f^{-1}(z)$  are univalent in  $\mathbb{U}$ . Let  $\Sigma$  denote the class of bi-univalent functions in  $\mathbb{U}$  given by (1.1.1). Examples of functions in the class  $\Sigma$  are  $\frac{z}{1-z}$ ,  $-\log(1-z)$ ,  $\frac{1}{2} \log \left( \frac{1+z}{1-z} \right)$  and so on. However, the familiar Koebe function is not a member of  $\Sigma$ . Other common examples of functions in  $\mathcal{S}$  such as  $z - \frac{z^2}{2}$  and  $\frac{z}{1-z^2}$  are also not members of  $\Sigma$  (see [5, 12]).

In 1967, Lewin [7] investigated the bi-univalent function class  $\Sigma$  and showed that  $|a_2| < 1.51$ . Subsequently, Brannan and Clunie [2] conjectured that  $|a_2| \leq \sqrt{2}$ . Netanyahu [10], on the other hand, showed that  $\max_{f \in \Sigma} |a_2| = \frac{4}{3}$ .

The coefficient estimate problem for each of the following Taylor-Maclaurin coefficients  $|a_n|$  for  $n \in \mathbb{N} \setminus \{1, 2\}$ ;  $\mathbb{N} := \{1, 2, 3, \dots\}$  is presumably still an open problem.

A function  $f \in \mathcal{A}$  is in the class  $\mathcal{S}_\Sigma^\alpha$  of strongly bi-starlike of order  $\alpha$  ( $0 < \alpha \leq 1$ ), if each of the following condition is satisfied:

$$f \in \Sigma, \left| \arg \left( \frac{zf'(z)}{f(z)} \right) \right| < \frac{\alpha\pi}{2}, \text{ and } \left| \arg \left( \frac{wg'(w)}{g(w)} \right) \right| < \frac{\alpha\pi}{2}, z, w \in \mathbb{U}; 0 < \alpha \leq 1,$$

where the function  $g$  is given by

$$(1.1.5) \quad g(w) = w - a_2w^2 + (2a_2^2 - a_3)w^3 - (5a_2^3 - 5a_2a_3 + a_4)w^4 + \dots$$

the extension of  $f^{-1}$  to  $\mathbb{U}$ .

The classes  $\mathcal{S}_\Sigma^*(\alpha)$  and  $\mathcal{K}_\Sigma(\alpha)$  of bi-starlike functions of order  $\alpha$  and bi-convex functions of order  $\alpha$ , corresponding to the function classes  $\mathcal{S}^*(\alpha)$  and  $\mathcal{K}(\alpha)$  defined by (1.1.2) and (1.1.3), were also introduced analogously. For each of the function classes  $\mathcal{S}_\Sigma^*(\alpha)$  and  $\mathcal{K}_\Sigma(\alpha)$ , Brannan and Taha [4] found non-sharp estimates on the first two Taylor-Maclaurin coefficients  $|a_2|$  and  $|a_3|$  (for details see [4, 14]). Following Brannan and Taha [4], Srivastava et al. [12]

introduced certain subclass  $\mathcal{H}_\Sigma^\alpha$ ,  $0 < \alpha \leq 1$  of the bi-univalent functions class  $\Sigma$ , a function  $f(z)$  given by (1.1.1) is said to be in the class  $\mathcal{H}_\Sigma^\alpha$ ,  $0 < \alpha \leq 1$ , if the following conditions are satisfied:

$$f \in \Sigma, \quad |\arg(f'(z))| < \frac{\alpha\pi}{2}, \quad \text{and} \quad |\arg(g'(w))| < \frac{\alpha\pi}{2}, \quad z, w \in \mathbb{U}; \quad 0 < \alpha \leq 1,$$

where the function  $g$  is given

$$(1.1.6) \quad g(w) = w - a_2w^2 + (2a_2^2 - a_3)w^3 - (5a_2^3 - 5a_2a_3 + a_4)w^4 + \dots$$

Then later many researchers (see [1, 6, 15, 16]) studied extensively the same class  $\mathcal{H}_\Sigma^\alpha$ , by different techniques and found the non-sharp estimates on the first two Taylor-Maclaurin coefficients  $|a_2|$  and  $|a_3|$ . It is interest to note that the estimates were found are improved but not sharp. Further, Frasin and Aouf [5] extended the class  $\mathcal{H}_\Sigma^\alpha$ , and obtained the non-sharp bounds (see also [9, 13]).

Motivated by the aforementioned works, we introduce the following subclasses of the function class  $\Sigma$ .

**Definition 1.1.** A function  $f(z)$  given by (1.1.1) is said to be in the class  $\mathcal{S}_\Sigma(\alpha, \lambda)$  if the following conditions are satisfied:

$$f \in \Sigma, \quad \left| \arg \left( \frac{zf'(z) + (2\lambda^2 - \lambda)z^2f''(z)}{4(\lambda - \lambda^2)z + (2\lambda^2 - \lambda)zf'(z) + (2\lambda^2 - 3\lambda + 1)f(z)} \right) \right| < \frac{\alpha\pi}{2}$$

$$(1.1.7) \quad (0 < \alpha \leq 1; \quad 0 \leq \lambda \leq 1; \quad z \in \mathbb{U})$$

and

$$\left| \arg \left( \frac{wg'(w) + (2\lambda^2 - \lambda)w^2g''(w)}{4(\lambda - \lambda^2)w + (2\lambda^2 - \lambda)wg'(w) + (2\lambda^2 - 3\lambda + 1)g(w)} \right) \right| < \frac{\alpha\pi}{2}$$

$$(1.1.8) \quad (0 < \alpha \leq 1; \quad 0 \leq \lambda \leq 1; \quad w \in \mathbb{U}),$$

where the function  $g$  is given by 1.1.6.

We note that for  $\lambda = \frac{1}{2}$ , the class  $\mathcal{S}_\Sigma(\alpha, \lambda)$  reduces to the class  $\mathcal{H}_\Sigma^\alpha$  introduced and studied by Srivastava et al. [12]. Putting  $\lambda = 0$ , the class  $\mathcal{S}_\Sigma(\alpha, \lambda)$  reduces to the class of strongly bi-starlike functions of order  $\alpha$  ( $0 < \alpha \leq 1$ ) and denoted by  $\mathcal{S}_\Sigma^*(\alpha)$ .

**Definition 1.2.** A function  $f(z)$  given by (1.1.1) is said to be in the class  $\mathcal{M}_\Sigma(\beta, \lambda)$  if the following conditions are satisfied:

$$f \in \Sigma, \quad \Re \left( \frac{zf'(z) + (2\lambda^2 - \lambda)z^2f''(z)}{4(\lambda - \lambda^2)z + (2\lambda^2 - \lambda)zf'(z) + (2\lambda^2 - 3\lambda + 1)f(z)} \right) > \beta$$

$$(1.1.9) \quad (0 \leq \beta < 1; \quad 0 \leq \lambda \leq 1; \quad z \in \mathbb{U})$$

and

$$\Re \left( \frac{wg'(w) + (2\lambda^2 - \lambda)w^2g''(w)}{4(\lambda - \lambda^2)w + (2\lambda^2 - \lambda)wg'(w) + (2\lambda^2 - 3\lambda + 1)g(w)} \right) > \beta$$

$$(1.1.10) \quad (0 \leq \beta < 1; 0 \leq \lambda \leq 1; w \in \mathbb{U}),$$

where the function  $g$  is given by (1.1.6).

It is interesting to note that, for  $\lambda = \frac{1}{2}$  the class  $\mathcal{M}_\Sigma(\beta, \lambda)$  reduces to the class  $\mathcal{H}_\Sigma^\beta$  introduced and studied by Srivastava et al. [12]. Putting  $\lambda = 0$ , the class  $\mathcal{M}_\Sigma(\beta, \lambda)$  reduces to the class of bi-starlike functions of order  $\beta (0 < \beta \leq 1)$  and denoted by  $\mathcal{S}_\Sigma(\beta)$ . When  $\lambda = 1$ , the class  $\mathcal{K}_\Sigma(\beta, \lambda)$  reduces to the class of bi-convex functions of order  $\beta (0 < \beta \leq 1)$  and denoted by  $\mathcal{K}_\Sigma(\beta)$ .

The object of the present paper is to find estimates on the coefficients  $|a_2|$  and  $|a_3|$  for functions in the above-defined subclasses  $\mathcal{S}_\Sigma(\alpha, \lambda)$  and  $\mathcal{M}_\Sigma(\alpha, \lambda)$  of the function class  $\Sigma$ .

In order to derive our main results, we shall need the following lemma.

**Lemma 1.3.** ([11]) *If  $h \in \mathcal{P}$ , then  $|c_k| \leq 2$  for each  $k$ , where  $\mathcal{P}$  is the family of all functions  $h$ , analytic in  $\mathbb{U}$ , for which*

$$\Re\{h(z)\} > 0 \quad (z \in \mathbb{U}),$$

where

$$h(z) = 1 + c_1z + c_2z^2 + \dots \quad (z \in \mathbb{U}).$$

## 2. COEFFICIENT BOUNDS FOR THE FUNCTION CLASS $\mathcal{S}_\Sigma(\alpha, \lambda)$

We begin by finding the estimates on the coefficients  $|a_2|$  and  $|a_3|$  for functions in the class  $\mathcal{S}_\Sigma(\alpha, \lambda)$ .

**Theorem 2.1.** *Let the function  $f(z)$  given by (1.1.1) be in the following class:*

$$\mathcal{S}_\Sigma(\alpha, \lambda) \quad (0 < \alpha \leq 1; 0 \leq \lambda \leq 1).$$

Then

$$(2.2.1) \quad |a_2| \leq \frac{2\alpha}{\sqrt{\alpha(1 - 2\lambda + 25\lambda^2 - 44\lambda^3 + 20\lambda^4) + (1 + 3\lambda - 2\lambda^2)^2}}$$

and

$$(2.2.2) \quad |a_3| \leq \frac{\alpha}{1 + 2\lambda^2} + \frac{4\alpha^2}{(1 + 3\lambda - 2\lambda^2)^2}.$$

*Proof.* It follows from (1.1.7) and (1.1.8) that

$$(2.2.3) \quad \frac{zf'(z) + (2\lambda^2 - \lambda)z^2f''(z)}{4(\lambda - \lambda^2)z + (2\lambda^2 - \lambda)zf'(z) + (2\lambda^2 - 3\lambda + 1)f(z)} = [p(z)]^\alpha$$

and

$$(2.2.4) \quad \frac{wg'(w) + (2\lambda^2 - \lambda)w^2g''(w)}{4(\lambda - \lambda^2)w + (2\lambda^2 - \lambda)wg'(w) + (2\lambda^2 - 3\lambda + 1)g(w)} = [q(w)]^\alpha,$$

where  $p(z)$  and  $q(w)$  in  $\mathcal{P}$  and have the following forms:

$$(2.2.5) \quad p(z) = 1 + p_1z + p_2z^2 + \dots$$

and

$$(2.2.6) \quad q(z) = 1 + q_1w + q_2w^2 + \dots,$$

respectively. Now, equating the coefficients in (2.2.3) and (2.2.4), we get

$$(2.2.7) \quad (1 + 3\lambda - 2\lambda^2)a_2 = \alpha p_1,$$

$$(2.2.8)$$

$$(12\lambda^4 - 28\lambda^3 + 11\lambda^2 + 2\lambda - 1)a_2^2 + (4\lambda^2 + 2)a_3 = \frac{1}{2} [\alpha(\alpha - 1)p_1^2 + 2\alpha p_2],$$

$$(2.2.9)$$

$$-(1 + 3\lambda - 2\lambda^2)a_2 = \alpha q_1$$

and

$$(2.2.10)$$

$$(12\lambda^4 - 28\lambda^3 + 19\lambda^2 + 2\lambda + 3)a_2^2 - (4\lambda^2 + 2)a_3 = \frac{1}{2} [\alpha(\alpha - 1)q_1^2 + 2\alpha q_2].$$

From (2.2.7) and (2.2.9), we get

$$(2.2.11)$$

$$p_1 = -q_1$$

and

$$(2.2.12)$$

$$2(1 + 3\lambda - 2\lambda^2)^2 a_2^2 = \alpha^2(p_1^2 + q_1^2).$$

From (2.2.8), (2.2.10) and (2.2.12), we obtain

$$a_2^2 = \frac{\alpha^2(p_2 + q_2)}{\alpha(1 - 2\lambda + 25\lambda^2 - 44\lambda^3 + 20\lambda^4) + (1 + 3\lambda - 2\lambda^2)^2}.$$

Applying Lemma 1.3 for the coefficients  $p_2$  and  $q_2$ , we immediately have

$$|a_2| \leq \frac{2\alpha}{\sqrt{\alpha(1 - 2\lambda + 25\lambda^2 - 44\lambda^3 + 20\lambda^4) + (1 + 3\lambda - 2\lambda^2)^2}}.$$

This gives the bound on  $|a_2|$  as asserted in (2.2.1).

Next, in order to find the bound on  $|a_3|$ , by subtracting (2.2.10) from (2.2.8), we get

$$(2.2.13) \quad 2(2 + 4\lambda^2)a_3 - (8\lambda^2 + 4)a_2^2 = \alpha(p_2 - q_2) + \frac{\alpha(\alpha - 1)}{2}(p_1^2 - q_1^2).$$

It follows from (2.2.11), (2.2.12) and (2.2.13) that

$$(2.2.14) \quad a_3 = \frac{\alpha(p_2 - q_2)}{2(2 + 4\lambda^2)} + \frac{\alpha^2(p_1^2 + q_1^2)(3\lambda^2 + 1)}{2(2\lambda^2 + 1)(1 + 3\lambda - 2\lambda^2)^2}.$$

Applying Lemma 1.3 once again, we readily get

$$|a_3| \leq \frac{\alpha}{1 + 2\lambda^2} + \frac{4\alpha^2}{(1 + 3\lambda - 2\lambda^2)^2}.$$

This completes the proof of Theorem 2.1.  $\square$

In the following section we find the estimates on the coefficients  $|a_2|$  and  $|a_3|$  for functions in the class  $\mathcal{M}_\Sigma(\beta, \lambda)$ .

### 3. COEFFICIENT BOUNDS FOR THE FUNCTION CLASS $\mathcal{M}_\Sigma(\beta, \lambda)$

**Theorem 3.1.** *Let  $f(z)$  given by (1.1.1) be in the class  $\mathcal{M}_\Sigma(\beta, \lambda)$ ,  $0 \leq \beta < 1$  and  $0 \leq \lambda < 1$ . Then*

$$(3.3.1) \quad |a_2| \leq \sqrt{\frac{2(1-\beta)}{12\lambda^4 - 28\lambda^3 + 15\lambda^2 + 2\lambda + 1}}$$

and

$$(3.3.2) \quad |a_3| \leq \frac{2(1-\beta)}{12\lambda^4 - 28\lambda^3 + 15\lambda^2 + 2\lambda + 1}.$$

*Proof.* It follows from (1.1.9) and (1.1.10) that there exists  $p, q \in \mathcal{P}$  such that

$$(3.3.3) \quad \frac{zf'(z) + (2\lambda^2 - \lambda)z^2f''(z)}{4(\lambda - \lambda^2)z + (2\lambda^2 - \lambda)zf'(z) + (2\lambda^2 - 3\lambda + 1)f(z)} = \beta + (1 - \beta)p(z)$$

and

$$(3.3.4) \quad \frac{wg'(w) + (2\lambda^2 - \lambda)w^2g''(w)}{4(\lambda - \lambda^2)w + (2\lambda^2 - \lambda)wg'(w) + (2\lambda^2 - 3\lambda + 1)g(w)} = \beta + (1 - \beta)q(w),$$

where  $p(z)$  and  $q(w)$  have the forms (2.2.5) and (2.2.6), respectively. Equating coefficients in (3.3.3) and (3.3.4), we get

$$(3.3.5) \quad (1 + 3\lambda - 2\lambda^2)a_2 = (1 - \beta)p_1$$

$$(3.3.6) \quad (12\lambda^4 - 28\lambda^3 + 11\lambda^2 + 2\lambda - 1)a_2^2 + (2 + 4\lambda^2)a_3 = (1 - \beta)p_2$$

$$(3.3.7) \quad -(1 + 3\lambda - 2\lambda^2)a_2 = (1 - \beta)q_1$$

and

$$(3.3.8) \quad (12\lambda^4 - 28\lambda^3 + 19\lambda^2 + 2\lambda + 3)a_2^2 - (2 + 4\lambda^2)a_3 = (1 - \beta)q_2.$$

From (3.3.5) and (3.3.7), we get

$$(3.3.9) \quad p_1 = -q_1$$

and

$$(3.3.10) \quad 2(1 + 3\lambda - 2\lambda^2)^2a_2^2 = (1 - \beta)^2(p_1^2 + q_1^2).$$

Also, from (3.3.6), (3.3.8) and (3.3.10), we obtain

$$a_2^2 = \frac{(1 - \beta)(p_2 + q_2)}{2(12\lambda^4 - 28\lambda^3 + 15\lambda^2 + 2\lambda + 1)}.$$

Applying Lemma 1.3 for the coefficients  $p_2$  and  $q_2$ , we immediately have

$$|a_2| \leq \sqrt{\frac{2(1-\beta)}{12\lambda^4 - 28\lambda^3 + 15\lambda^2 + 2\lambda + 1}}.$$

This gives the bound on  $|a_2|$  as asserted in (3.3.1).

Next, in order to find the bound on  $|a_3|$ , by subtracting (3.3.8) from (3.3.6), we get

$$(3.3.11) \quad 4(1 + 2\lambda^2)a_3 - 4(1 + 2\lambda^2)a_2^2 = (1 - \beta)(p_2 - q_2).$$

It follows from (3.3.9), (3.3.10) and (3.3.11) that

$$(3.3.12) \quad 4(1 + 2\lambda^2)a_3 = \frac{4(1 + 2\lambda^2)(1 - \beta)(p_2 + q_2)}{12\lambda^4 - 28\lambda^3 + 15\lambda^2 + 2\lambda + 1} + (1 - \beta)(p_2 - q_2).$$

Applying Lemma 1.3 once again, we readily get

$$|a_3| \leq \frac{2(1-\beta)}{12\lambda^4 - 28\lambda^3 + 15\lambda^2 + 2\lambda + 1}.$$

This completes the proof of Theorem 3.1. □

*Remark 3.2.* Taking  $\lambda = 0$  in Theorem 2.1 and 3.1, the estimates on the coefficients  $|a_2|$  and  $|a_3|$  are improvement of the estimates on the first two Taylor-Maclaurin coefficients obtained in [8]. Also, for the choice of  $\lambda = \frac{1}{2}$ , the results stated in Theorem 2.1 and Theorem 3.1 would improve bounds stated in [12].

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